Attachment 5601-2.2 a Table Of Contents

APPROVAL LEVELS OF AUTHORITY AND DOCUMENT REVIEW – January 2020

(unless further restricted by individual Agreements Officer warrant levels)

SOF AT&L-KF/KH/KP/KW/KI/KR/KS

ACTION	**REVIEW**	**APPROVAL**
1. Use of OT Authority D&F [1]		
NOTE: See Note 1 for calculating the value of OTs that include multiple transactions, phases, or follow-on production.		
Competitive OT Procedures:		
Equal to or less than $3M	Peer Review, Legal	AO
Greater than $3M, but less than $10M	Peer Review, Legal	HCD
Equal to or greater than $10M, but less than $100M	Peer Review, HCD, Legal	SOF AT&L-KM
Equal to or greater than $100M, but less than $500M	Peer Review, HCD, Legal, SOF AT&L-KM, HCA, & SPE	USD(R&E) or USD(A&S)
Equal to or greater than $500M	Peer Review, HCD, Legal, SOF AT&L-KM, HCA, & SPE	USD(R&E) or USD(A&S)
Sole source / Non-competitive OT :		
Equal to or less than $1M	Peer Review, Legal	AO
Greater than $1M, but less than $10M	Peer Review, Legal	HCD

Equal to or greater than $10M, but less than $100M	Peer Review, HCD, Legal	SOF AT&L-KM
Equal to or greater than $100M, but less than $500M	Peer Review, HCD, Legal, SOF AT&L-KM, HCA, & SPE	USD(R&E) or USD(A&S)
Equal to or greater than $500M	Peer Review, HCD, Legal, SOF AT&L-KM, HCA, & SPE	USD(R&E) or USD(A&S)

Using other than RDT&E Funds:

Equal to or less than $250,000	Peer Review, Legal	AO
Greater than $250,000, but less than $10M	Peer Review, Legal	HCD
Equal to or greater than $10M, but less than $100M	Peer Review, HCD, Legal	SOF AT&L-KM
Equal to or greater than $100M, but less than $500M	Peer Review, HCD, Legal, SOF AT&L-KM, HCA, & SPE	USD(R&E) or USD(A&S)
Equal to or greater than $500M	Peer Review, HCD, Legal, SOF AT&L-KM, HCA, & SPE	USD(R&E) or USD(A&S)

2.Requests for Proposals, Solicitations, and Requests for White Papers [1]

Equal to or less than $5M	Peer Review, Legal	AO
Greater than $5M, but less than $10M	Peer Review, Legal	HCD
Equal to or greater than $10M	Peer Review, HCD, Legal	SOF AT&L KM

4. Agreement Clearance [1]

Equal to or less than $3M	Peer Review, Legal	AO

Greater than $3M, but less than $10M	Peer Review, Legal	HCD
Equal to or greater than $10M	Peer Review, HCD, Legal	SOF AT&L-KM

Using other than RDT&E Funds:

Equal to or less than $250,000	Peer Review, Legal	AO
Greater than $250,000, but less than $10M	Peer Review, Legal	HCD
Equal to or greater than $10M	Peer Review, HCD, Legal	SOF AT&L-KM

NOTE: The Agreement Clearance is the approval of the negotiated business arrangement, to include all associated documentation. Agreement Clearance review shall be requested only after negotiations have concluded, the Agreement file is finalized, to include the Agreement Analysis Document, and prior to the Agreements Officer signing the Agreement.

5. Agreement Terminations [2]

Equal to or less than $2M	Peer Review, Legal	HCD
Greater than $2M	Peer Review, HCD, Legal, & SOF AT&L-KM	HCA

[1] When the HCD is also the AO, approval authority is one level above the Agreements officer.
[2] When the HCD is also the AO, the approval authority will be the HCA.

Parent topic: Attachment 5601-2 Table Of Contents