Attachment 5601-1

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Attachment 5601-1.1a

REVIEW PACKAGE CONTENTS CHECKLIST*

**Acquisition Plans**
Acquisition planning documents based on complexity and dollar value
Market Research Report

**Determination & Findings (D&F)**
D&F
Local Legal Review
Statement of Work (SOW)/Performance Work Statement (PWS)/Statement of Objectives (SOO)
Funding Document(s), as applicable
Draft Letter Contract, as applicable
**Justification and Approvals (J&A), Brand Name Justifications, Exception to Fair Opportunity (FOE):**

- Justification
- Acquisition Plan
- Market Research Report

**Source Selection Plans**

- Source Selection Plan or Evaluation Plan
- Applicable D&F’s

**Requests for Proposals, Invitations for Bids, Request for Quotes**

- Solicitation
- Requirements Package (SOW/PWS/ SOO, CDRls, DD254, etc.)
- Acquisition Planning Documents or Brief (as applicable)
- Local Legal Review
  - **Competitive** - Evaluation Plan or Source Selection Plan
  - **Non-Competitive** - J&A

**Requests for Final Proposal Reviews (FPR)**

- (competitive only)
- Evaluation Notices (ENs) and Contractor Responses
- Draft Source Selection Evaluation Board (SSEB) Report
- Draft FPR notice

**Contract Clearance**

- Approval of the negotiated business arrangement
  - Access to file - to include access to the contract writing system
  - Draft contract
  - Local Legal Review
    - **Competitive**
      - Request for Clearance
      - Final SSEB Report
      - Source Selection Advisory Council (SSAC) Report (if applicable)
      - Draft Decision Briefing
      - Draft Source Selection Decision Document (SSDD)
    - **Non-Competitive**
      - Post-negotiation Business Clearance Memorandum

**Terminations**

- Termination Authority Form
- Modification
- Local Legal Review
- Show Cause Letter

**Ratifications**

- D&F (including all documentation of unauthorized commitment)
- Local Legal Review
- Ratification Checklist with associated documents

* Reference SOFARS 5604.803 Contents of Contract Files, DCG Part 4.1 Contents of Contract Files, and DCG Part 4.2 Contract Files Assurance and “Chain of Custody”. All documents listed above are to be included in the file prior to requesting review from SOF AT&L-KM/KX/KB as required and applicable to the specific contracting action.
NOTES - Applicable to ALL Offices

Acquisition planning is required for **ALL** acquisitions. Simplified acquisition planning can be used for acquisitions below DFARS thresholds for a written acquisition plan. See SOFARS 5607.104. Reference DCG Part 7 for various planning documents. As delineated in DFARS 207.103(g), the Program Manager, or other official responsible for the program, has overall responsibility for the acquisition planning. The PM shall prepare the acquisition planning documents with coordination from the KO.

**AP Coordination** - IAW FAR 7.104(c), acquisition plans proposing other than full and open competition shall be coordinated with the cognizant advocate for competition. IAW FAR 7.104(d)(1), acquisition plans exceeding $8M not entirely reserved or set-aside for small business require small business specialist coordination.

**AP Approval** - PEO/Director/O-6 or civilian equivalent are authorized to approve non-competitive acquisition plans.

Anytime the FAR/DFARS (see attached DoD listing) states a Determination is required, the determination is to be made in writing and placed in the contract file. The DoD listing provides examples. USSOCOM templates are to be used when available.

See SOFARS 5606.501 for AAC and PAAC designations. In the absence of the AAC, the HCA will assume the review/approval duties. In the absence of the PAAC, the AAC will assume the review/approval duties. When the PAAC is performing as the KO, then the AAC will be the reviewer.

See SOFARS 5601.170 for local and DPC peer review requirements and phases.

RFP Reviews apply to all contracting actions, including task orders and delivery orders, regardless of method (i.e. FAR Part 8, 12, 13, 15, 16, etc.).

**Exception:** Delivery or task orders issued from pre-priced IDIQ contracts with a defined delivery schedule or period of performance.

Business Clearance Reviews apply to all contracting actions, including task orders and delivery orders, regardless of method (i.e. FAR Part 8, 12, 13, 15, 16, etc.).

**Exception** : Delivery or task orders issued from pre-priced IDIQ contracts with a defined delivery schedule or period of performance.

Contract Clearance Reviews apply to all contracting actions, including task orders and delivery orders, regardless of method (i.e. FAR Part 8, 12, 13, 15, 16, etc.). Contract Clearance review may be waived by the Business Clearance Approval Authority. Details of the negotiation must be documented and included in the post-negotiation portion of the Business Clearance Memorandum in accordance with FAR 15.406-3.

**Exception** : Delivery or task orders issued from pre-priced IDIQ contracts with a defined delivery schedule or period of performance.

Source Selection Plans – When the Reviewer is also the SSA, the SSP must be reviewed in accordance with SOFARS 5615.303(a)(2)(B).
Submit packages for review or approval through the cognizant program LNO. The LNO is authorized to approve actions estimated less than $50M when SOF AT&L-KM, KX, or KB is the approval authority.

All SIGREDUX Contracting Actions will be reviewed by KO, HCD/FCO, and Legal. This includes contract actions conducted under FAR 6.302-1, Only One Responsible Source. Approval authority for SIGREDUX Ratifications and Terminations is done by the HCA. All other approvals will be by SOF AT&L-KB.

Simplified Acquisition Threshold (SAT) is defined in FAR 2.101.

The HCD/FCO will coordinate all actions over $100M with the HCA/DDoP.

**Attachment 5601-1.2a**

**APPROVAL LEVELS OF AUTHORITY AND DOCUMENT REVIEW - June 2021**

(Unless further restricted by individual Contracting Officer warrant levels)

**SOF AT&L- KF/ KH/KP/KW/KI/KR/KS**

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<tr>
<th>ACTION</th>
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<th>APPROVAL</th>
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<tr>
<td>Development:</td>
<td></td>
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<tr>
<td>Equal to or greater than $10M</td>
<td>HCD, Legal &amp; SOF AT&amp;L-KM</td>
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<td>Production:</td>
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<tr>
<td>Equal to or greater than $25M in any one year or equal to or greater than $50M for all years.</td>
<td>HCD, Legal &amp; SOF AT&amp;L-KM</td>
<td>SSA</td>
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</table>

**NOTE:** For Services refer to 5601-1.2l Acquisition Strategy for Services

2. Type of Contract D&F
<table>
<thead>
<tr>
<th>Category</th>
<th>Justification</th>
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<tbody>
<tr>
<td>Single-Award Indefinite-Quantity Contracts greater than $112M</td>
<td>HCD, Legal, SOF AT&amp;L-KM &amp; HCA</td>
</tr>
<tr>
<td>Award Fee Contracts</td>
<td>HCD, Legal &amp; SOF AT&amp;L-KM</td>
</tr>
<tr>
<td>Incentive Fee Contracts</td>
<td>KO</td>
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<tr>
<td>Personal Services Contract</td>
<td>HCD, Legal &amp; SOF AT&amp;L-KM</td>
</tr>
<tr>
<td>Undefinitized Contractual Action (UCA)</td>
<td>HCD, Legal &amp; SOF AT&amp;L-KM</td>
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<tr>
<td>Contracts or orders with T&amp;M or LH CLINs (greater than $1M OR greater than 3 yrs)</td>
<td>HCD, Legal &amp; SOF AT&amp;L-KM</td>
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<tr>
<td>Contracts or orders with T&amp;M or LH CLINs (less than or equal to $1M AND less than or equal to 3 yrs)</td>
<td>KO</td>
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### 3. Justification and Approvals (J&As), Brand Name Justifications, and Exception to Fair Opportunity

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<tr>
<th>Amount</th>
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<td>Greater than $13.5M, but less than or equal to $93M</td>
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<tr>
<td>Greater than $93M</td>
<td>Legal, SOF AT&amp;L-KM, &amp; AAC</td>
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### 4a. Requests for Proposals, Invitations for Bids, and Requests for Quotes

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<td>----------------------------------------------</td>
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<td>For competitive -</td>
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<tr>
<td>Equal to or greater than $50M</td>
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<tr>
<td>For non-competitive -</td>
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<tr>
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**4b. Requests for Final Proposals Revisions (Competitive - if applicable)**

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<tr>
<th>Category</th>
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</thead>
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<td>AT&amp;L-KM&lt;sup&gt;3&lt;/sup&gt;</td>
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**5. Business Clearance**

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<tr>
<th>Category</th>
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<td>KO</td>
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<td>Greater than $2M, but less than $20M</td>
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<td>KO</td>
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<td>HCD</td>
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<tr>
<td>For competitive -</td>
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<td>HCD &amp; Legal</td>
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<tr>
<td>Equal to or greater than $50M</td>
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<td>SOF AT&amp;L-KM&lt;sup&gt;3&lt;/sup&gt;</td>
</tr>
<tr>
<td>For non-competitive -</td>
<td></td>
<td>Legal &amp; HCD</td>
</tr>
<tr>
<td>Equal to or greater than $50M, but less than $500M</td>
<td>Legal &amp; HCD</td>
<td>SOF AT&amp;L-KM&lt;sup&gt;3&lt;/sup&gt;</td>
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<tr>
<td>Equal to or greater than $500M</td>
<td>Legal, HCD, &amp; DPC</td>
<td>SOF AT&amp;L-KM&lt;sup&gt;3&lt;/sup&gt;</td>
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</tbody>
</table>

**6. Contract Clearance**

<table>
<thead>
<tr>
<th>Category</th>
<th>Minimum Value</th>
<th>Permissions Required</th>
</tr>
</thead>
<tbody>
<tr>
<td>Equal to or less than $2M</td>
<td>KO</td>
<td>KO</td>
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<tr>
<td>Greater than $2M, but less than $20M</td>
<td>Legal</td>
<td>KO</td>
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</table>
Equal to or greater than $20M, but less than $50M

Legal

HCD

For competitive -

Equal to or greater than $50M

Legal & HCD

SOF AT&L-KM³

For non-competitive -

Equal to or greater than $50M, but less than $500M

Legal & HCD

SOF AT&L-KM³

Equal to or greater than $500M

Legal, HCD, & DPC

SOF AT&L-KM³

7. Source Selection or Evaluation Plans

Equal to or less than $6.5M

KO

SSA

Greater than $6.5M

Legal

SSA

8. Post Award Peer Reviews (Required for Services Only - See SOFARS 5601. 170 )

Equal to or greater than $50M

Legal, HCD & SOFAT&L-KM³

N/A

9. Ratifications

Equal to or less than the SAT

Legal

HCD

Greater than the SAT

HCD, Legal, & SOFAT&L-KM³

HCA

10. Terminations

Termination for Default/Cause

Legal & SOF AT&L-KM³

HCA

Termination for Convenience (equal to or less than $6.5M)

KO

HCD

Termination for Convenience (greater than $6.5M)

Legal

HCD
1 When the HCD is also the KO, approval authority is one level above the HCD.
2 When the HCD is also the KO, the approval authority will be the HCA.
3 For SOF AT&L-KP, the cognizant policy LNO for review and approvals is SOF AT&L-KB. 
NOTE: The USSOCOM Acquisition Executive/Senior Procurement Executive (AE/SPE) designates as, and delegates the duties of, the USSOCOM Agency Advocate for Competition (AAC) to the Deputy Director of Procurement, and the Procuring Activity Advocate for Competition (PAAC) to each Division Chief of SOF AT&L-KF/KH/KP/KW/KI/KR/KS and Field Contracting Office Chiefs.

Attachment 5601-1.2b

APPROVAL LEVELS OF AUTHORITY AND DOCUMENT REVIEW - June 2021

(unless further restricted by individual Contracting Officer warrant levels)

SOFSA

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<th>ACTION</th>
<th>REVIEW</th>
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1. Acquisition Plan (See Note 1 & Note 12 )

Development:

Equal to or greater than $10M  FCO, Legal & SOF AT&L-KM SSA

Production:

Equal to or greater than $25M in any one year or equal to or greater than $50M for all years.  FCO, Legal & SOF AT&L-KM SSA

NOTE: For Services refer to 5601-1.2l Acquisition Strategy for Services

2. Type of Contract D&F

Single-Award Indefinite-Quantity Contracts greater than $112M  FCO, Legal, SOF AT&L-KM, & HCA SPE

Award Fee Contracts  FCO, Legal & SOF AT&L-KM HCA
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<tr>
<th>Incentive Fee Contracts</th>
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<tr>
<td>Personal Services Contract</td>
<td>FCO, Legal &amp; SOF AT&amp;L-KM</td>
<td>HCA</td>
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<tr>
<td>Undefinitized Contractual Action (UCA)</td>
<td>FCO, Legal &amp; SOF AT&amp;L-KM</td>
<td>HCA</td>
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<tr>
<td>Contracts or orders with T&amp;M or LH CLINs (greater than $1M OR greater than 3 yrs)</td>
<td>FCO, Legal &amp; SOF AT&amp;L-KM</td>
<td>HCA</td>
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<tr>
<td>Contracts or orders with T&amp;M or LH CLINs (less than or equal to $1M AND less than or equal to 3 yrs)</td>
<td>KO</td>
<td>FCO</td>
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3. Justification and Approvals (J&As), Brand Name Justifications, and Exception to Fair Opportunity

| Equal to or less than $700,000 | KO | KO |  
| Greater than $700,000, but less than or equal to $6.5M | Legal | PAAC |  
| Greater than $6.5M, but less than or equal to $13.5M | FCO, Legal, & SOF AT&L-KM | AAC |  
| Greater than $13.5M, but less than or equal to $93M | Legal, SOF AT&L-KM, & AAC | HCA |  
| Greater than $93M | Legal, SOF AT&L-KM, & AAC | SPE |  

4a. Requests for Proposals, Invitations for Bids, and Requests for Quotes

<p>| Equal to or less than $2M | KO | KO |<br />
| Greater than $2M, but less than $20M | Legal | KO |<br />
| Equal to or greater than $20M, but less than $50M | Legal | FCO |</p>
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<td>FCO, Legal, SSAC-C (if applicable), &amp; SSA</td>
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<tr>
<td>For non-competitive –</td>
<td>Equal to or greater than $50M</td>
<td>FCO &amp; Legal</td>
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**4b. Requests for Final Proposals Revisions (Competitive - if applicable)**

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<th>Threshold</th>
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<tr>
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<td>FCO, Legal, SSAC-C (if applicable) &amp; SOF AT&amp;L-KM</td>
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**5. Business Clearance**

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<tr>
<td>For competitive –</td>
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<td>FCO &amp; Legal</td>
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<td>For non-competitive –</td>
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<td>Equal to or greater than $500M</td>
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**6. Contract Clearance**

<table>
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<tr>
<td>For competitive –</td>
<td>Equal to or greater than $50M</td>
<td>Legal &amp; FCO</td>
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For non-competitive –

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<td>SOF AT&amp;L-KM</td>
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<td>Equal to or greater than $500M</td>
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**7. Source Selection or Evaluation Plans**

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**8. Post Award Peer Reviews (Required for Services Only -See SOFARS 5601.170 )**

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<th>Value</th>
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**9. Ratifications**

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**10. Terminations**

<table>
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<th>Value</th>
<th>Accreditations</th>
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<td>HCA</td>
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<tr>
<td>Termination for Convenience (greater than $6.5M)</td>
<td>Legal</td>
<td>FCO</td>
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</table>

1. When the FCO is also the KO, approval authority is one level above the FCO.
2. When the FCO is also the KO, the approval authority will be the HCA.

NOTE: The USSOCOM Acquisition Executive/Senior Procurement Executive (AE/SPE) designates as, and delegates the duties of, the USSOCOM Agency Advocate for Competition (AAC) to the Deputy Director of Procurement, and the Procuring Activity Advocate for Competition (PAAC) to each Division Chief of SOF AT&L-KF/KH/KP/KW/KI/KR/KS and Field Contracting Office Chiefs.
## Attachment 5601-1.2c

### APPROVAL LEVELS OF AUTHORITY AND DOCUMENT REVIEW - June 2021

(unless further restricted by individual Contracting Officer warrant levels)

**TAKO**

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<th>ACTION</th>
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<th>APPROVAL</th>
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### 1. Acquisition Plan (See Note 1 & Note 12 )

**Development:**

- Equal to or greater than $10M: FCO, Legal & SOF, AT&L-KB, SSA

**Production:**

- Equal to or greater than $25M in any one year or equal to or greater than $50M for all years: FCO, Legal & SOF, AT&L-KB, SSA

**NOTE:** For Services refer to 5601-1.2l Acquisition Strategy for Services

### 2. Type of Contract D&F

- **Single-Award Indefinite-Quantity Contracts greater than $112M:** FCO, Legal, SOF, AT&L-KB, & HCA, SPE

- **Award Fee Contracts:** FCO, Legal & SOF, AT&L-KB, HCA

- **Incentive Fee Contracts:** KO, FCO

- **Personal Services Contract:** FCO, Legal & SOF, AT&L-KB, HCA

- **Undefinitized Contractual Action (UCA):** FCO, Legal & SOF, AT&L-KB, HCA
Contracts or orders with T&M or LH CLINs (greater than $1M OR greater than 3 yrs)  
FCO, Legal & SOF AT&L-KB  
HCA

Contracts or orders with T&M or LH CLINs (less than or equal to $1M AND less than or equal to 3 yrs)  
KO  
FCO²

3. Justification and Approvals (J&As), Brand Name Justifications, and Exception to Fair Opportunity

Equal to or less than $700,000  
KO  
KO

Greater than $700,000, but less than or equal to $6.5M  
Legal  
PAAC

Greater than $6.5M, but less than or equal to $13.5M  
FCO, Legal, & SOF AT&L-KB  
AAC

Greater than $13.5M, but less than or equal to $93M  
Legal, SOF AT&L-KB, & AAC  
HCA

Greater than $93M  
Legal, SOF AT&L-KB, & AAC  
SPE

4a. Requests for Proposals, Invitations for Bids, and Requests for Quotes

Equal to or less than $2M  
KO  
KO

Greater than $2M, but less than $20M  
Legal  
KO

Equal to or greater than $20M, but less than $50M  
Legal  
FCO

For competitive –  
Equal to or greater than $50M  
FCO, Legal, SSAC-C (if applicable), & SSA  
SOF AT&L-KB

For non-competitive –  
Equal to or greater than $50M  
FCO & Legal  
SOF AT&L-KB

4b. Requests for Final Proposals Revisions (Competitive - if applicable)
5. Business Clearance

Equal to or less than $2M
KO
KO

Greater than $2M, but less than $20M
Legal
KO

Equal to or greater than $20M, but less than $50M
Legal
FCO

For competitive –
Equal to or greater than $50M
FCO & Legal
SOF AT&L-KB

For non-competitive –
Equal to or greater than $50M, but less than $500M
Legal & FCO
SOF AT&L-KB

Equal to or greater than $500M
Legal, FCO, & DPC
SOF AT&L-KB

6. Contract Clearance

Equal to or less than $2M
KO
KO

Greater than $2M, but less than $20M
Legal
KO

Equal to or greater than $20M, but less than $50M
Legal
FCO

For competitive –
Equal to or greater than $50M
Legal & FCO
SOF AT&L-KB

For non-competitive –
Equal to or greater than $50M, but less than $500M
Legal & FCO
SOF AT&L-KB

Equal to or greater than $500M
Legal, FCO, & DPC
SOF AT&L-KB

7. Source Selection or Evaluation Plans
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**8. Post Award Peer Reviews (Required for Services Only -See SOFARS 5601.170 )**

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<td>N/A</td>
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**9. Ratifications**

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<th>Notes</th>
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</thead>
<tbody>
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<td>Equal to or less than the SAT</td>
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<td>FCO</td>
</tr>
<tr>
<td>Greater than the SAT</td>
<td>FCO, Legal, &amp; SOF AT&amp;L-KB</td>
<td>HCA</td>
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</tbody>
</table>

**10. Terminations**

<table>
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<tr>
<th>Type</th>
<th>Approval Authority</th>
<th>Notes</th>
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</thead>
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<tr>
<td>Termination for Default/Cause</td>
<td>Legal &amp; SOF AT&amp;L-KB</td>
<td>HCA</td>
</tr>
<tr>
<td>Termination for Convenience (equal to or less than $6.5M)</td>
<td>KO</td>
<td>FCO</td>
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<tr>
<td>Termination for Convenience (greater than $6.5M)</td>
<td>Legal</td>
<td>FCO</td>
</tr>
</tbody>
</table>

1. When the FCO is also the KO, approval authority is one level above the FCO.
2. When the FCO is also the KO, the approval authority will be the HCA.

NOTE: The USSOCOM Acquisition Executive/Senior Procurement Executive (AE/SPE) designates as, and delegates the duties of, the USSOCOM Agency Advocate for Competition (AAC) to the Deputy Director of Procurement, and the Procuring Activity Advocate for Competition (PAAC) to each Division Chief of SOF AT&L-KF/KH/KP/KW/KI/KR/KS and Field Contracting Office Chiefs.

**Attachment 5601-1.2d**

**APPROVAL LEVELS OF AUTHORITY AND DOCUMENT REVIEW - June 2021**

(unless further restricted by individual Contracting Officer warrant levels)

**USASOC**
**ACTION**

**REVIEW**

**APPROVAL**

### 1. Acquisition Plan (See Note 1 & Note 12)

**Development:**

- Equal to or greater than $10M: FCO, Legal & SOF, AT&L-KB
- Production: FCO, Legal & SOF, AT&L-KB, SSA

**NOTE:** For Services refer to 5601-1.2l Acquisition Strategy for Services

### 2. Type of Contract D&F

- Single-Award Indefinite-Quantity Contracts greater than $112M: Legal, SOF AT&L-KB, & HCA, SPE
- Award Fee Contracts: Legal & SOF AT&L-KB, HCA
- Incentive Fee Contracts: KO, FCO
- Personal Services Contract: Legal & SOF AT&L-KB, HCA
- Undefinitized Contractual Action (UCA): Legal & SOF AT&L-KB, HCA
- Contracts or orders with T&M or LH CLINs (greater than $1M OR greater than 3 yrs): Legal & SOF AT&L-KB, HCA
- Contracts or orders with T&M or LH CLINs (less than or equal to $1M AND less than or equal to 3 yrs): KO, FCO

### 3. Justification and Approvals (J&As), Brand Name Justifications, and Exception to Fair Opportunity

- Equal to or less than $700,000: KO, KO
Greater than $700,000 but less than $6.5M  
Legal  
PAAC

Equal to or greater than $6.5M, but less than $13.5M  
FCO, Legal, SOF AT&L-KB  
AAC

Equal to or greater than $13.5M, but less than $93M  
FCO, Legal, SOF AT&L-KB, AAC  
HCA

Equal to or greater than $93M  
FCO, Legal, SOF AT&L-KB, AAC & HCA  
SPE

4a. Requests for Proposals, Invitations for Bids, and Requests for Quotes

Equal to or less than $2M  
KO  
KO

Greater than $2M, but less than $10M  
Legal  
FCO

Equal to or greater than $10M, but less than $50M  
Legal  
SOF AT&L-KB

For competitive –
Equal to or greater than $50M  
FCO, Legal, SSAC-C (if applicable), & SSA  
SOF AT&L-KB

For non-competitive –
Equal to or greater than $50M  
Legal & FCO  
SOF AT&L-KB

4b. Requests for Final Proposals Revisions (Competitive - if applicable)

Equal to or greater than $50M  
FCO, Legal, SSAC-C (if applicable) & SOF AT&L-KB  
SSA

5. Business Clearance

Equal to or less than $2M  
KO  
KO

Greater than $2M, but less than $10M  
Legal  
FCO

Equal to or greater than $10M, but less than $50M  
FCO & Legal  
SOF AT&L-KB
For competitive -

Equal to or greater than $50M  FCO & Legal  SOF AT&L-KB

For non-competitive -

Equal to or greater than $50M, but less than $500M  FCO & Legal  SOF AT&L-KB

Equal to or greater than $500M  FCO, Legal, & DPC  SOF AT&L-KB

6. Contract Clearance

Equal to or less than $2M  KO  KO

Greater than $2M, but less than $10M  KO  FCO

Equal to or greater than $10M, but less than $50M  FCO & Legal  SOF AT&L-KB

For competitive -
Equal to or greater than $50M  FCO & Legal  SOF AT&L-KB

For non-competitive -

Equal to or greater than $50M, but less than $500M  FCO & Legal  SOF AT&L-KB

Equal to or greater than $500M  FCO, Legal, & DPC  SOF AT&L-KB

7. Source Selection or Evaluation Plans

Less than $6.5M  KO  SSA

Equal to or greater than $6.5M  SOF AT&L-KB, & Legal  SSA

8. Post Award Peer Reviews (Required for Services Only - See SOFARS 5601.170)

Equal to or greater than $50M  Legal, FCO & SOF AT&L-KB  N/A

9. Ratifications
Equal to or less than the SAT  
KO & Legal  
FCO

Greater than the SAT  
FCO, Legal, & SOF AT&L-KB  
HCA

10. Terminations

Termination for Default  
Legal  
SOF AT&L-KB

Termination for Convenience (equal to or less than the SAT)  
KO  
FCO

Termination for Convenience (greater than the SAT)  
Legal  
SOF AT&L-KB

1 When the FCO is also the KO, approval authority is one level above the FCO.

2 When the FCO is also the KO, the approval authority will be the HCA.

NOTE: The USSOCOM Acquisition Executive/Senior Procurement Executive (AE/SPE) designates as, and delegates the duties of, the USSOCOM Agency Advocate for Competition (AAC) to the Deputy Director of Procurement, and the Procuring Activity Advocate for Competition (PAAC) to each Division Chief of SOF AT&L-KF/KH/KP/KW/KI/KR/KS and Field Contracting Office Chiefs.

Attachment 5601-1.2e

APPROVAL LEVELS OF AUTHORITY AND DOCUMENT REVIEW - June 2021

(Unless further restricted by individual Contracting Officer warrant levels)

WARC OM (Includes NSWDG)

ACTION  
REVIEW  
APPROVAL

1. Acquisition Plan (See Note 1 & Note 12)

Development:

Equal to or greater than $10M  
FCO*, Legal & SOF AT&L-KB  
SSA
Production:

Equal to or greater than $25M in any one year or equal to or greater than $50M for all years.

NOTE: For Services refer to 5601-1.2l Acquisition Strategy for Services

2. Type of Contract D&F

Single-Award Indefinite-Quantity Contracts greater than $112M
Legal, SOF AT&L-KM/KX/KB, & HCA
SPE

Award Fee Contracts
Legal & SOF AT&L-KB
HCA

Incentive Fee Contracts
KO
FCO

Personal Services Contract
Legal & SOF AT&L-KB
HCA

Undefinitized Contractual Action (UCA)
Legal & SOF AT&L-KB
HCA

Contracts or orders with T&M or LH CLINs (greater than $1M OR greater than 3 yrs)
Legal & SOF AT&L-KB
HCA

Contracts or orders with T&M or LH CLINs (less than or equal to $1M AND less than or equal to 3 yrs)
KO
FCO

3. Justification and Approvals (J&As), Brand Name Justifications, and Exception to Fair Opportunity

Equal to or less than $700,000
KO
KO

Greater than $700,000 but less than $6.5M
Legal
PAAC

Equal to or greater than $6.5M, but less than $13.5M
FCO, Legal, SOF AT&L-KB, & PAAC
AAC

Equal to or greater than $13.5M, but less than $93M
FCO, Legal, SOF AT&L-KB, PAAC & AAC
HCA
Equal to or greater than $93M  FCO, Legal, SOF AT&L-KB, PAAC, AAC & HCA  SPE

4a. Requests for Proposals, Invitations for Bids, and Requests for Quotes

Equal to or less than $2M  KO  KO
Greater than $2M, but less than $4M  Legal  KO
Equal to or greater than $4M, but less than $6.5M  Legal  FCO
For competitive -
Equal to or greater than $50M  FCO, Legal, SSAC-C (if applicable), & SSA  SOF AT&L-KB
For non-competitive -
Equal to or greater than $50M  Legal & FCO  SOF AT&L-KB

4b. Requests for Final Proposals Revisions (Competitive - if applicable)

Equal to or greater than $50M  FCO, Legal, SSAC-C (if applicable) & SOF AT&L-KB  SSA

5. Business Clearance

Equal to or less than $2M  KO  KO
Greater than $2M, but less than $4M  Legal  KO
Equal to or greater than $4M, but less than $6.5M  Legal  FCO
Equal to or greater than $6.5M, but less than $50M  FCO & Legal  SOF AT&L-KB
For competitive -
Equal to or greater than $50M  FCO & Legal  SOF AT&L-KB
For non-competitive -
Equal to or greater than $50M, but less than $500M  FCO & Legal  SOF AT&L-KB
Equal to or greater than $500M  FCO, Legal, & DPC  SOF AT&L-KB

6. Contract Clearance

Equal to or less than $2M  KO  KO

Greater than $2M, but less than $4M  Legal  KO

Equal to or greater than $4M, but less than $6.5M  Legal  FCO

Equal to or greater than $6.5M, but less than $50M  FCO & Legal  SOF AT&L-KB

For competitive -
Equal to or greater than $50M  FCO & Legal  SOF AT&L-KB

For non-competitive -
Equal to or greater than $50M, but less than $500M  FCO & Legal  SOF AT&L-KB

Equal to or greater than $500M  FCO, Legal, & DPC  SOF AT&L-KB

7. Source Selection or Evaluation Plans

Less than $6.5M  KO  SSA

Equal to or greater than $6.5M  SOF AT&L-KM/KX/KB, & Legal  SSA

8. Post Award Peer Reviews (Required for Services Only -See SOFARS 5601.170 )

Equal to or greater than $50M  Legal, FCO & SOF AT&L-KB  N/A

9. Ratifications

Equal to or less than the SAT  KO & Legal  FCO

Greater than the SAT  FCO, Legal, & SOF AT&L-KB  HCA
10. Terminations

<table>
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<tr>
<th>Termination for Default</th>
<th>Legal</th>
<th>SOF AT&amp;L-KB</th>
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<th>Termination for Convenience (greater than the SAT)</th>
<th>Legal</th>
<th>SOF AT&amp;L-KB</th>
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1. When the FCO is also the KO, approval authority is one level above the FCO.
2. When the FCO is also the KO, the approval authority will be the HCA.

NOTE: The USSOCOM Acquisition Executive/Senior Procurement Executive (AE/SPE) designates as, and delegates the duties of, the USSOCOM Agency Advocate for Competition (AAC) to the Deputy Director of Procurement, and the Procuring Activity Advocate for Competition (PAAC) to each Division Chief of SOF AT&L-KF/KH/KP/KW/KI/KR/KS and Field Contracting Office Chiefs.

Attachment 5601-1.2f

**APPROVAL LEVELS OF AUTHORITY AND DOCUMENT REVIEW - January 2020**

(Unless further restricted by individual Contracting Officer warrant levels)

**MARSOC**

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<th>ACTION</th>
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<th>APPROVAL</th>
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1. **Acquisition Plan (See Acquisition Strategy for Services)**

**Development:**

- Equal to or greater than $10M: FCO*, Legal & SOF AT&L-KB SSA

**Production:**

- Equal to or greater than $25M in any one year or equal to or greater than $50M for all years: FCO*, Legal & SOF AT&L-KB SSA

**Note:** For Services refer to 5601-1.2l Note 1 & Note 12
2. Type of Contract D&F

- Single-Award Indefinite-Quantity Contracts greater than $112M: Legal, SOF AT&L-KB, & HCA, SPE
- Award Fee Contracts: Legal & SOF AT&L-KB, HCA
- Incentive Fee Contracts: KO, FCO₁

Attachment

- Personal Services Contract: Legal & SOF AT&L-KB, HCA
- Undefinitized Contractual Action (UCA): Legal & SOF AT&L-KB, HCA
- Contracts or orders with T&M or LH CLINs (greater than $1M OR greater than 3 yrs): Legal & SOF AT&L-KB, HCA
- Contracts or orders with T&M or LH CLINs (less than or equal to $1M AND less than or equal to 3 yrs): KO, FCO₂

3. Justification and Approvals (J&As), Brand Name Justifications, and Exception to Fair Opportunity

- Equal to or less than SAT: KO, KO
- Greater than SAT but less than $700,000: Legal, PAAC
- Equal to or greater than $700,000 but less than $13.5M: FCO, Legal, SOF AT&L-KB, AAC
- Equal to or greater than $13.5M, but less than $93M: FCO, Legal, SOF AT&L-KB, & AAC, HCA
- Equal to or greater than $93M: FCO, Legal, SOF AT&L-KB, AAC, & HCA, SPE
4. Requests for Proposals, Invitations for Bids, and Requests for Quotes

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<td>KO</td>
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<td>KO</td>
<td>FCO</td>
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<td>Equal to or greater than $1M</td>
<td>Legal &amp; FCO</td>
<td>SOF AT&amp;L-KB</td>
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5. Business Clearance

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<td>Equal to or greater than $1M</td>
<td>Legal &amp; FCO</td>
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6. Contract Clearance

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<td>SOF AT&amp;L-KB</td>
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7. Source Selection or Evaluation Plans

<table>
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<th>Value Description</th>
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<td>Greater than $1M</td>
<td>Legal &amp; SOF AT&amp;L-KB</td>
<td>SSA</td>
</tr>
</tbody>
</table>
8. Ratifications

Equal to or less than $25,000  KO & Legal  FCO

Greater than $25,000  Legal, FCO & SOF AT&L-KB  HCA

9. Terminations

Termination for Default  Legal & FCO  SOF AT&L-KB

Termination for Convenience (equal to or less than the SAT)  KO  FCO

Termination for Convenience (greater than the SAT)  Legal & FCO  SOF AT&L-KB

1 When the FCO is also the KO, approval authority is one level above the FCO.
2 When the FCO is also the KO, the approval authority will be the HCA.
NOTE: The USSOCOM Acquisition Executive/Senior Procurement Executive (AE/SPE) designates as, and delegates the duties of, the USSOCOM Agency Advocate for Competition (AAC) to the Deputy Director of Procurement, and the Procuring Activity Advocate for Competition (PAAC) to each Division Chief of SOF AT&L-KF/KH/KP/KW/KI/KR/KS and Field Contracting Office Chiefs.

Attachment 5601-1.2g

APPROVAL LEVELS OF AUTHORITY AND DOCUMENT REVIEW - June 2021

(unless further restricted by individual Contracting Officer warrant levels)

AID and RDA

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<th>ACTION</th>
<th>REVIEW</th>
<th>APPROVAL</th>
</tr>
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</table>

1. Acquisition Plan (See Note 1 & Note 12)

Development:

Equal to or greater than $10M  FCO*, Legal & SOF AT&L-KB  SSA
Production:

Equal to or greater than $25M in any one year or equal to or greater than $50M for all years.

NOTE: For Services refer to 5601-1.2l Acquisition Strategy for Services

2. Type of Contract D&F

Single-Award Indefinite-Quantity Contracts greater than $112M
Legal, SOF AT&L-KM/KX/KB, & HCA
SPE

Award Fee Contracts
Legal & SOF AT&L-KB
HCA

Incentive Fee Contracts
KO
FCO

Personal Services Contract
Legal & SOF AT&L-KB
HCA

Undefinitized Contractual Action (UCA)
Legal & SOF AT&L-KB
HCA

Contracts or orders with T&M or LH CLINs (greater than $1M OR greater than 3 yrs)
Legal & SOF AT&L-KB
HCA

Contracts or orders with T&M or LH CLINs (less than or equal to $1M AND less than or equal to 3 yrs)
KO
FCO

3. Justification and Approvals (J&As), Brand Name Justifications, and Exception to Fair Opportunity

Equal to or less than $700,000
KO
KO

Greater than $700,000 but less than $6.5M
Legal
PAAC

Equal to or greater than $6.5M, but less than $13.5M
FCO, Legal, SOF AT&L-KB
AAC

Equal to or greater than $13.5M, but less than $93M
FCO, Legal, SOF AT&L-KB & AAC
HCA
4a. Requests for Proposals, Invitations for Bids, and Requests for Quotes

Equal to or less than $2M
KO

Greater than $2M, but less than $6.5M
Legal
HCD/FCO

Equal to or greater than $6.5M, but less than $50M
Legal
SOF AT&L-KB

For competitive –
Equal to or greater than $50M
FCO, Legal, SSAC-C (if applicable), & SSA
SOF AT&L-KB

For non-competitive –
Equal to or greater than $50M
Legal & FCO
SOF AT&L-KB

4b. Requests for Final Proposals Revisions (Competitive - if applicable)

Equal to or greater than $50M
FCO, Legal, SSAC-C (if applicable) & SOF AT&L-KB
SSA

5. Business Clearance

Equal to or less than $2M
KO

Greater than $2M, but less than $6.5M
Legal
HCD/FCO

Equal to or greater than $6.5M, but less than $50M
FCO & Legal
SOF AT&L-KB

For competitive –
Equal to or greater than $50M
FCO & Legal
SOF AT&L-KB

For non-competitive –
Equal to or greater than $50M, but less than $500M
FCO & Legal
SOF AT&L-KB

Equal to or greater than $500M
FCO, Legal, & DPC
SOF AT&L-KB
6. Contract Clearance

Equal to or less than $2M  KO  KO
Greater than $2M, but less than $6.5M  KO  HCD/FCO
Equal to or greater than $6.5M, but less than $50M  FCO & Legal  SOF AT&L-KB
For competitive –
Equal to or greater than $50M  FCO & Legal  SOF AT&L-KB
For non-competitive –
Equal to or greater than $50M, but less than $500M  FCO & Legal  SOF AT&L-KB
Equal to or greater than $500M  FCO, Legal, & DPC  SOF AT&L-KB

7. Source Selection or Evaluation Plans

Less than $6.5M  KO  SSA
Equal to or greater than $6.5M  SOF AT&L-KB, & Legal  SSA

8. Post Award Peer Reviews (Required for Services Only -See SOFARS 5601.170 )

Equal to or greater than $50M  Legal, FCO & SOF AT&L-KB  N/A

9. Ratifications

Equal to or less than the SAT  KO & Legal  FCO
Greater than the SAT  FCO, Legal, & SOF AT&L-KB  HCA

10. Terminations

Termination for Default  Legal  SOF AT&L-KB
Termination for Convenience (equal to or less than the SAT)  
KO  
HCD/FCO

Termination for Convenience (greater than the SAT)  
Legal  
SOF AT&L-KB

1 When the FCO is also the KO, approval authority is one level above the FCO.
2 When the FCO is also the KO, the approval authority will be the HCA.
NOTE: The USSOCOM Acquisition Executive/Senior Procurement Executive (AE/SPE) designates as, and delegates the duties of, the USSOCOM Agency Advocate for Competition (AAC) to the Deputy Director of Procurement, and the Procuring Activity Advocate for Competition (PAAC) to each Division Chief of SOF AT&L-KF/KH/KP/KW/KI/KR/KS and Field Contracting Office Chiefs.

Attachment 5601-1.2h

APPROVAL LEVELS OF AUTHORITY AND DOCUMENT REVIEW - January 2020

(Unless further restricted by individual Contracting Officer warrant levels)

RCO-Joint, RCO-Pope, RCO- Pope 2 & RCO-Bragg

<table>
<thead>
<tr>
<th>ACTION</th>
<th>REVIEW</th>
<th>APPROVAL</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Acquisition Plan (See Note 1 &amp; Note 12)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Development:

Equal to or greater than $10M  
FCO*, Legal & SOF AT&L-KB  
SSA

Production:

Equal to or greater than $25M in any one year or equal to or greater than $50M for all years.  
FCO*, Legal & SOF AT&L-KB  
SSA

NOTE: For Services refer to 5601-1.2l Acquisition Strategy for Services

2. Type of Contract D&F
<table>
<thead>
<tr>
<th>Contract Type</th>
<th>Approvals</th>
</tr>
</thead>
<tbody>
<tr>
<td>Single-Award Indefinite-Quantity Contracts greater than $112M</td>
<td>Legal, SOF AT&amp;L-KB, HCA, SPE</td>
</tr>
<tr>
<td>Award Fee Contracts</td>
<td>Legal &amp; SOF AT&amp;L-KB, HCA</td>
</tr>
<tr>
<td>Incentive Fee Contracts</td>
<td>KO, FCO¹</td>
</tr>
<tr>
<td>Personal Services Contract</td>
<td>Legal &amp; SOF AT&amp;L-KB, HCA</td>
</tr>
<tr>
<td>Undefinitized Contractual Action (UCA)</td>
<td>Legal &amp; SOF AT&amp;L-KB, HCA</td>
</tr>
<tr>
<td>Contracts or orders with T&amp;M or LH CLINs (greater than $1M OR greater than 3 yrs)</td>
<td>Legal &amp; SOF AT&amp;L-KB, HCA</td>
</tr>
<tr>
<td>Contracts or orders with T&amp;M or LH CLINs (less than or equal to $1M AND less than or equal to 3 yrs)</td>
<td>KO, FCO²</td>
</tr>
</tbody>
</table>

3. Justification and Approvals (J&As), Brand Name Justifications, and Exception to Fair Opportunity

<table>
<thead>
<tr>
<th>Approval Category</th>
<th>Approvals</th>
</tr>
</thead>
<tbody>
<tr>
<td>Equal to or less than SAT</td>
<td>KO, KO</td>
</tr>
<tr>
<td>Greater than SAT but less than $700,000</td>
<td>Legal, PAAC</td>
</tr>
<tr>
<td>Equal to or greater than $700,000 but less than $13.5M</td>
<td>FCO, Legal, SOF AT&amp;L-KB, AAC</td>
</tr>
<tr>
<td>Equal to or greater than $13.5M, but less than $93M</td>
<td>FCO, Legal, SOF AT&amp;L-KB, AAC, HCA</td>
</tr>
<tr>
<td>Equal to or greater than $93M</td>
<td>FCO, Legal, SOF AT&amp;L-KB, AAC, HCA, SPE</td>
</tr>
</tbody>
</table>

4. Requests for Proposals, Invitations for Bids, and Requests for Quotes

<table>
<thead>
<tr>
<th>Approval Category</th>
<th>Approvals</th>
</tr>
</thead>
<tbody>
<tr>
<td>Equal to or less than SAT</td>
<td>KO, KO</td>
</tr>
</tbody>
</table>
Greater than SAT but less than $750,000  
KO  
FCO

Equal to or greater than $750,000 but less than $1M  
Legal  
FCO

Equal to or greater than $1M  
Legal & FCO  
SOF AT&L-KB

5. Business Clearance

Equal to or less than SAT  
KO  
KO

Greater than SAT but less than $750,000  
KO  
FCO

Equal to or greater than $750,000 but less than $1M  
Legal  
FCO

Equal to or greater than $1M  
Legal & FCO  
SOF AT&L-KB

6. Contract Clearance

Equal to or less than SAT  
KO  
KO

Greater than SAT but less than $750,000  
KO  
FCO

Equal to or greater than $750,000 but less than $1M  
Legal  
FCO

Equal to or greater than $1M  
Legal & FCO  
SOF AT&L-KB

7. Source Selection or Evaluation Plans

Equal to or less than $1M  
FCO  
SSA

Greater than $1M  
Legal & SOF AT&L-KB  
SSA

8. Ratifications

Equal to or less than $25,000  
KO & Legal  
FCO
Greater than $25,000

Legal, FCO & SOF
AT&L-KB

HCA

9. Terminations

Termination for Default
Legal & FCO
SOF AT&L-KB

Termination for Convenience (equal to or
less than the SAT)
KO
FCO

Termination for Convenience (greater
than the SAT)
Legal & FCO
SOF AT&L-KB

1 When the FCO is also the KO, approval authority is one level above the FCO.
2 When the FCO is also the KO, the approval authority will be the HCA.
NOTE: The USSOCOM Acquisition Executive/Senior Procurement Executive (AE/SPE)
designates as, and delegates the duties of, the USSOCOM Agency Advocate for Competition
(AAC) to the Deputy Director of Procurement, and the Procuring Activity Advocate for
Competition (PAAC) to each Division Chief of SOF AT&L-KF/KH/KP/KW/KI/KR/KS and Field
Contracting Office Chiefs.

Attachment 5601-1.2i

APPROVAL LEVELS OF AUTHORITY AND DOCUMENT REVIEW - June 2021

(unless further restricted by individual Contracting Officer warrant levels)

SP-1 & SP-2

ACTION REVIEW APPROVAL

1. Acquisition Plan (See Note 1 & Note 12)

Development:

Equal to or greater than $10M

FCO*, Legal & SOF
AT&L-KB

SSA

Production:
Equal to or greater than $25M in any one year or equal to or greater than $50M for all years. | FCO*, Legal & SOF AT&L-KB | SSA

**NOTE:** For Services refer to 5601-1.2l Acquisition Strategy for Services

2. D&F

| Single-Award Indefinite-Quantity Contracts greater than $112M | Legal, SOF AT&L-KB, & HCA | SPE
| Award Fee Contracts | Legal & SOF AT&L-KB | HCA
| Incentive Fee Contracts | KO | FCO
| Personal Services Contract | Legal & SOF AT&L-KB | HCA
| Undefinitized Contractual Action (UCA) | Legal & SOF AT&L-KB | HCA
| Contracts or orders with T&M or LH CLINs (greater than $1M OR greater than 3 yrs) | Legal & SOF AT&L-KB | HCA
| Contracts or orders with T&M or LH CLINs (less than or equal to $1M AND less than or equal to 3 yrs) | KO | FCO

3. Justification and Approvals (J&As), Brand Name Justifications, and Exception to Fair Opportunity

| Equal to or less than SAT | KO | KO
| Greater than SAT but less than $1M | KO, Legal | PAAC
| Equal to or greater than $1M, but less than $13.5M | Legal, SOF AT&L-KB | AAC
| Equal to or greater than $13.5M, but less than $93M | Legal, SOF AT&L-KB, & AAC | HCA
| Equal to or greater than $93M | Legal, SOF AT&L-KB, & AAC | SPE
### 4a. Requests for Proposals, Invitations for Bids, and Requests for Quotes

<table>
<thead>
<tr>
<th>Amount Range</th>
<th>Approvals Required</th>
<th>FAQs Required</th>
</tr>
</thead>
<tbody>
<tr>
<td>Equal to or less than $750,000 ($1M for task orders)</td>
<td>KO</td>
<td>KO</td>
</tr>
<tr>
<td>Greater than $750,000 but less than $1M ($1M for task orders)</td>
<td>Legal</td>
<td>FCO</td>
</tr>
<tr>
<td>Equal to or greater than $1M, but less than $50M</td>
<td>Legal &amp; FCO</td>
<td>SOF AT&amp;L-KB</td>
</tr>
<tr>
<td>For competitive -</td>
<td>FCO, Legal, SSAC-C (if applicable), &amp; SSA</td>
<td>SOF AT&amp;L-KB</td>
</tr>
<tr>
<td>Equal to or greater than $50M</td>
<td>Legal &amp; FCO</td>
<td>SOF AT&amp;L-KB</td>
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</tbody>
</table>

### 4b. Requests for Final Proposals Revisions (Competitive - if applicable)

<table>
<thead>
<tr>
<th>Amount Range</th>
<th>Approvals Required</th>
<th>FAQs Required</th>
</tr>
</thead>
<tbody>
<tr>
<td>Equal to or greater than $50M</td>
<td>FCO, Legal, SSAC-C (if applicable) &amp; SOF AT&amp;L-KB</td>
<td>SSA</td>
</tr>
</tbody>
</table>

### 5. Business Clearance

<table>
<thead>
<tr>
<th>Amount Range</th>
<th>Approvals Required</th>
<th>FAQs Required</th>
</tr>
</thead>
<tbody>
<tr>
<td>Equal to or less than $750,000 ($1M for task or delivery orders)</td>
<td>KO</td>
<td>KO</td>
</tr>
<tr>
<td>Greater than $750,000 but less than $1M ($1M for task or delivery orders)</td>
<td>KO</td>
<td>FCO</td>
</tr>
<tr>
<td>Equal to or greater than $1M, but less than $50M</td>
<td>Legal &amp; FCO</td>
<td>SOF AT&amp;L-KB</td>
</tr>
<tr>
<td>For competitive -</td>
<td>Legal &amp; FCO</td>
<td>SOF AT&amp;L-KB</td>
</tr>
<tr>
<td>Equal to or greater than $50M, but less than $500M</td>
<td>Legal &amp; FCO</td>
<td>SOF AT&amp;L-KB</td>
</tr>
<tr>
<td>Equal to or greater than $500M</td>
<td>Legal, FCO, &amp; DPC</td>
<td>SOF AT&amp;L-KB</td>
</tr>
</tbody>
</table>
6. Contract Clearance

- Equal to or less than $750,000 ($1M for task or delivery orders)  
  - KO  
  - KO

- Greater than $750,000 but less than $1M ($1M for task or delivery orders)  
  - KO  
  - FCO

- Equal to or greater than $1M, but less than $50M  
  - Legal & FCO  
  - SOF AT&L-KB

  For competitive -
  - Equal to or greater than $50M  
    - FCO & Legal  
    - SOF AT&L-KB

  For non-competitive -
  - Equal to or greater than $50M, but less than $500M  
    - FCO & Legal  
    - SOF AT&L-KB
  - Equal to or greater than $500M  
    - FCO, Legal, & DPC  
    - SOF AT&L-KB

7. Source Selection or Evaluation Plans

- Equal to or less than $1M  
  - KO  
  - SSA

- Greater than $1M  
  - SOF AT&L-KB, Legal  
  - SSA

8. Post Award Peer Reviews (Required for Services Only - See SOFARS 5601.170 )

- Equal to or greater than $50M  
  - FCO & Legal  
  - SOF AT&L-KB

9. Ratifications

- Equal to or less than $25,000  
  - KO & Legal  
  - FCO

- Greater than $25,000  
  - Legal, FCO & SOF AT&L-KB  
  - HCA

10. Terminations

- Termination for Default  
  - Legal & FCO  
  - SOF AT&L-KB
Termination for Convenience (equal to or less than the SAT)  
KO  
FCO  

Termination for Convenience (greater than the SAT)  
Legal & FCO  
SOF AT&L-KB  

1. When the FCO is also the KO, approval authority is one level above the FCO.  
2. When the FCO is also the KO, the approval authority will be the HCA.  

NOTE: The USSOCOM Acquisition Executive/Senior Procurement Executive (AE/SPE) designates as, and delegates the duties of, the USSOCOM Agency Advocate for Competition (AAC) to the Deputy Director of Procurement, and the Procuring Activity Advocate for Competition (PAAC) to each Division Chief of SOF AT&L-KF/KH/KP/KW/KI/KR/KS and Field Contracting Office Chiefs.

### Attachment 5601-1.2j

**APPROVAL LEVELS OF AUTHORITY AND DOCUMENT REVIEW - January 2020**

(Unless further restricted by individual Contracting Officer warrant levels)

**SOF AT&L-KX, TSOCs, Contingency Operations, 24th SOW, and 905th**

<table>
<thead>
<tr>
<th>ACTION</th>
<th>REVIEW</th>
<th>APPROVAL</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>1. Acquisition Plan (See Note 1 &amp; Note 12)</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Development</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Equal to or greater than $10M</td>
<td>FCO*, Legal &amp; SOF AT&amp;L-KX</td>
<td>SSA</td>
</tr>
<tr>
<td><strong>Production:</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Equal to or greater than $25M in any one year or Equal to or greater than $50M for all years.</td>
<td>Legal &amp; SOF AT&amp;L-KX</td>
<td>SSA</td>
</tr>
</tbody>
</table>

**NOTE:** For Services refer to 5601-1.2l Acquisition Strategy for Services

**2. Type of Contract (D&F):**
Single-Award Indefinite-Quantity Contracts greater than $112M

Award Fee Contracts

Incentive Fee Contracts

Personal Services Contract

Undefinitized Contractual Action (UCA)

Contracts or orders with T&M or LH CLINs (greater than $1M OR greater than 3 yrs)

Contracts or orders with T&M or LH CLINs (less than or equal to $1M AND less than or equal to 3 yrs)

3. Justification and Approvals (J&As), Brand Name Justifications, and Exception to Fair Opportunity:

Equal to or less than $250,000

Greater than $250,000, but less than $700,000

Equal to or greater than $700,000, but less than $13.5M

Equal to or greater than $13.5M, but less than $93M

Equal to or greater than $93M

4. Requests for Proposals, Invitations for Bids, and Requests for Quotes

Equal to or less than SAT3

Greater than SAT3
5. Business Clearance

Equal to or less than SAT3  KO  KO
Greater than SAT3  Legal & FCO  SOF AT&L-KX

6. Contract Clearance

Equal to or less than SAT3  KO  KO
Greater than SAT3  Legal & FCO  SOF AT&L-KX

7. Source Selection or Evaluation Plans

Equal to or less than SAT3  FCO  SSA
Greater than SAT3  Legal & SOF AT&L-KX  SSA

8. Ratifications

Equal to or less than $10,000  KO & Legal  FCO
Greater than $10,000  Legal, FCO & SOF AT&L-KX  HCA

9. Terminations

Termination for Default  Legal & FCO  SOF AT&L-KX
Termination for Convenience (equal to or less than $250,000)  KO & Legal  FCO
Termination for Convenience (greater than $250,000)  Legal & FCO  SOF AT&L-KX
1 When the FCO is also the KO, approval authority is one level above the FCO.
2 When the FCO is also the KO, the approval authority will be the HCA.
3 SAT for acquisitions in support of contingency operations applies to any contract to be awarded and performed, or purchase to be made, outside the United States. Otherwise, the standard SAT applies.

NOTE: The USSOCOM Acquisition Executive/Senior Procurement Executive (AE/SPE) designates as, and delegates the duties of, the USSOCOM Agency Advocate for Competition (AAC) to the Deputy Director of Procurement, and the Procuring Activity Advocate for Competition (PAAC) to each Division Chief of SOF AT&L-KF/KH/KP/KW/KI/KR/KS and Field Contracting Office Chiefs.

Attachment 5601-1.2k

ACQUISITION STRATEGY FOR SERVICE – September 2018
All Offices

1) IAW DoDI 5000.74 the decision authority or designee listed below will be responsible for the review and approval of the acquisition strategy. The Senior Service Manager (SSM) is PEO-SV. PEO or DPEO for service acquisitions assigned to a respective PEO, may approve acquisition strategies.
2) For TAKO, the approval authority is AMCOM Director, Special Programs (Aviation). For acquisitions exceeding $85.5M that are not performance-based, see SOFARS 5637.170-2.
3) For those acquisitions that require a formal written acquisition plan as delineated in DFARS 207.103, a separate acquisition plan is not required if the acquisition strategy includes the contents of written acquisition plans (FAR 7.105 and PGI 207.105), as applicable.

S-CAT REVIEW APPROVAL

S-CAT V

Acquisitions of services with an estimated total value of the SAT or more, but less than $10M

KO

The SSM has delegated approval to the component level. See SOFARS 5637.102.

S-CAT IV

Acquisitions of services with an estimated total value of $10M or more, but less than $100M

HCD/FCO, Legal, & SOF AT&L-KM/KX/KB

SSM

S-CAT III
<table>
<thead>
<tr>
<th>Description</th>
<th>Responsible Parties</th>
</tr>
</thead>
<tbody>
<tr>
<td>Acquisitions of services with an estimated total value of $100M or more, but less than $250M</td>
<td>HCD/FCO, Legal, &amp; SOF AT&amp;L-KM/KX/KB</td>
</tr>
<tr>
<td><strong>S-CAT II</strong></td>
<td></td>
</tr>
<tr>
<td>Acquisitions of services with an estimated total value of $250M or more, but less than $1B</td>
<td>HCD/FCO, Legal, SOF AT&amp;L-KM/KX/KB, &amp; SSM</td>
</tr>
<tr>
<td><strong>Special Interest</strong></td>
<td></td>
</tr>
<tr>
<td>As designated by the USD(AT&amp;L)</td>
<td>HCD/FCO, Legal, SOF AT&amp;L-KM/KX/KB, &amp; SSM</td>
</tr>
<tr>
<td><strong>S-CAT I</strong></td>
<td></td>
</tr>
<tr>
<td>Any acquisition of services with an estimated total value of $1B or more than $300M in any one year</td>
<td>HCD/FCO, Legal, SOF AT&amp;L-KM/KX/KB, &amp; SSM</td>
</tr>
</tbody>
</table>