Attachment 5601-2 Table Of Contents

Index

Attachment 5601-2.1 a Table Of Contents

Attachment 5601-2.2 a Table Of Contents

Attachment 5601-2.2 b Table Of Contents

Attachment 5601-2.2 c Table Of Contents

Attachment 5601-2.2 d Table Of Contents

Attachment 5601-2.2 e Table Of Contents

Attachment 5601-2.2 f Table Of Contents

Attachment 5601-2.2 g Table Of Contents

Attachment 5601-2.2 h Table Of Contents

Attachment 5601-2.2 i Table Of Contents

Attachment 5601-2.2 j Table Of Contents

Attachment 5601-2.2 k Table Of Contents

Index

Attachment 5601-2.1 a Table Of Contents

NOTES – Applicable to ALL Offices

1	In determining the value of OTs for the purposes of assessing compliance with the authority levels set forth below, OTs will be measured based on the value of each transaction, rather than the total value of all OTs that might be executed in a prototype project or for follow-on production. That is, a prototype project may consist of multiple transactions to the same or different parties, each of which shall be considered separately when considering dollar thresholds. Transaction value shall include all options with prices established in the OT as awarded, as well as the amount associated with any cost-sharing borne by the contractor where the basis for the OT is subsection 2371b(d)(l)(C). Regardless of value, a separate OT approval will be required for each phase prototype or follow-on production-and separately within a phase for each transaction.

2	Anytime United States Code, regulation, or policy states a Determination is required, the determination is to be made in writing and placed in the Agreement file. USSOCOM templates are to be used when available.
3	Peer reviews are formal and a signature block will be placed on a review routing sheet or on the actual documents being reviewed. Peer reviewers must be a warranted USSOCOM Agreements Officer and shall sign either the review routing sheet or the actual documents upon completion of their review. If a Field Office has only one warranted Agreements Officer, then an FCO review can be substituted in lieu of the peer review.
4	Submit packages for SOF AT&L-KM/KX/KB review or approval through the cognizant program LNO.
5	All SIGREDUX Agreement Actions will be reviewed by AO, HCD/FCO, SOF AT&L-KB, and Legal. Approval authority for SIGREDUX Ratifications and Terminations is done by the DoP.
6	The HCD/FCO will coordinate all actions over $20M with the DoP/DDoP.
7	USD(R&E) or USD(A&S) must execute a written determination as prescribed at 10 U.S.C 2371b(a)(2)(B) for any transaction for a prototype project, and any follow-on production contract, that is expected to exceed $500M (including all options). The Office of the Under Secretary making the determination is responsible for Congressional notification.

Attachment 5601-2.2 a Table Of Contents

APPROVAL LEVELS OF AUTHORITY AND DOCUMENT REVIEW – January 2020

(unless further restricted by individual Agreements Officer warrant levels)

SOF AT&L-KF/KH/KP/KW/KI/KR/KS

ACTION	**REVIEW**	**APPROVAL**
1. Use of OT Authority D&F [1]		
NOTE: See Note 1 for calculating the value of OTs that include multiple transactions, phases, or follow-on production.		
Competitive OT Procedures:		
Equal to or less than $3M	Peer Review, Legal	AO

Greater than $3M, but less than $10M	Peer Review, Legal	HCD
Equal to or greater than $10M, but less than $100M	Peer Review, HCD, Legal	SOF AT&L-KM
Equal to or greater than $100M, but less than $500M	Peer Review, HCD, Legal, SOF AT&L-KM, HCA, & SPE	USD(R&E) or USD(A&S)
Equal to or greater than $500M	Peer Review, HCD, Legal, SOF AT&L-KM, HCA, & SPE	USD(R&E) or USD(A&S)
Sole source / Non-competitive OT :		
Equal to or less than $1M	Peer Review, Legal	AO
Greater than $1M, but less than $10M	Peer Review, Legal	HCD
Equal to or greater than $10M, but less than $100M	Peer Review, HCD, Legal	SOF AT&L-KM
Equal to or greater than $100M, but less than $500M	Peer Review, HCD, Legal, SOF AT&L-KM, HCA, & SPE	USD(R&E) or USD(A&S)
Equal to or greater than $500M	Peer Review, HCD, Legal, SOF AT&L-KM, HCA, & SPE	USD(R&E) or USD(A&S)
Using other than RDT&E Funds:		
Equal to or less than $250,000	Peer Review, Legal	AO
Greater than $250,000, but less than $10M	Peer Review, Legal	HCD
Equal to or greater than $10M, but less than $100M	Peer Review, HCD, Legal	SOF AT&L-KM

Equal to or greater than $100M, but less than $500M	Peer Review, HCD, Legal, SOF AT&L-KM, HCA, & SPE	USD(R&E) or USD(A&S)
Equal to or greater than $500M	Peer Review, HCD, Legal, SOF AT&L-KM, HCA, & SPE	USD(R&E) or USD(A&S)

2.Requests for Proposals, Solicitations, and Requests for White Papers [1]

Equal to or less than $5M	Peer Review, Legal	AO
Greater than $5M, but less than $10M	Peer Review, Legal	HCD
Equal to or greater than $10M	Peer Review, HCD, Legal	SOF AT&L KM

4. Agreement Clearance [1]

Equal to or less than $3M	Peer Review, Legal	AO
Greater than $3M, but less than $10M	Peer Review, Legal	HCD
Equal to or greater than $10M	Peer Review, HCD, Legal	SOF AT&L-KM

Using other than RDT&E Funds:

Equal to or less than $250,000	Peer Review, Legal	AO
Greater than $250,000, but less than $10M	Peer Review, Legal	HCD
Equal to or greater than $10M	Peer Review, HCD, Legal	SOF AT&L-KM

NOTE: The Agreement Clearance is the approval of the negotiated business arrangement, to include all associated documentation. Agreement Clearance review shall be requested only after negotiations have concluded, the Agreement file is finalized, to include the Agreement Analysis Document, and prior to the Agreements Officer signing the Agreement.

5. Agreement Terminations [2]

Equal to or less than $2M	Peer Review, Legal	HCD
Greater than $2M	Peer Review, HCD, Legal, & SOF AT&L-KM	HCA

[1] When the HCD is also the AO, approval authority is one level above the Agreements officer.
[2] When the HCD is also the AO, the approval authority will be the HCA.

Attachment 5601-2.2 b Table Of Contents

Attachment 5601-2 .2 b

APPROVAL LEVELS OF AUTHORITY AND DOCUMENT REVIEW – January 2020

(unless further restricted by individual Agreements Officer warrant levels)

SOFSA

ACTION	**REVIEW**	**APPROVAL**
1. Use of OT Authority D&F [1]		
NOTE: See Note 1 for calculating the value of OTs that include multiple transactions, phases, or follow-on production.		
Competitive OT Procedures:		
Equal to or less than $2M	Peer Review, Legal	AO
Greater than $2M, but less than $5M	Peer Review, Legal	FCO
Equal to or greater than $5M, but less than $100M	Peer Review, FCO, Legal	SOF AT&L-KM
Equal to or greater than $100M, but less than $500M	Peer Review, FCO, Legal, SOF AT&L-KM, HCA, & SPE	USD(R&E) or USD(A&S)
Equal to or greater than $500M	Peer Review, FCO, Legal, SOF AT&L-KM, HCA, & SPE	USD(R&E) or USD(A&S)

Sole source / Non-competitive OT :

Equal to or less than $1M	Peer Review, Legal	AO
Greater than $1M, but less than $5M	Peer Review, Legal	FCO
Equal to or greater than $5M, but less than $100M	Peer Review, FCO, Legal	SOF AT&L-KM
Equal to or greater than $100M, but less than $500M	Peer Review, FCO, Legal, SOF AT&L-KM, HCA, & SPE	USD(R&E) or USD(A&S)
Equal to or greater than $500M	Peer Review, FCO, Legal, SOF AT&L-KM, HCA, & SPE	USD(R&E) or USD(A&S)

Using other than RDT&E Funds:

Equal to or less than $250,000	Peer Review, Legal	AO
Greater than $250,000, but less than $5 M	Peer Review, Legal	FCO
E qual to or greater than $5 M, but less than $100M	Peer Review, FCO, Legal	SOF AT&L-KM
Equal to or greater than $100M, but less than $500M	Peer Review, FCO, Legal, SOF AT&L-KM, HCA, & SPE	USD(R&E) or USD(A&S)
Equal to or greater than $500M	Peer Review, FCO, Legal, SOF AT&L-KM, HCA, & SPE	USD(R&E) or USD(A&S)

2.Requests for Proposals, Solicitations, and Requests for White Papers [1]

Equal to or less than $2M	Peer Review, Legal	AO
Greater than $2M, but less than $5M	Peer Review, Legal	FCO
Equal to or greater than $5M	Peer Review, FCO, Legal	SOF AT&LKM

4. Agreement Clearance [1]

Equal to or less than $2M	Peer Review, Legal	AO
Greater than $2M, but less than $5M	Peer Review, Legal	FCO
Equal to or greater than $5M	Peer Review, FCO, Legal	SOF AT&L-KM

Using other than RDT&E Funds:

Equal to or less than $250,000	Peer Review, Legal	AO
Greater than $250,000, but less than $5M	Peer Review, Legal	FCO
Equal to or greater than $5M	Peer Review, FCO, Legal	SOF AT&L-KM

NOTE: The Agreement Clearance is the approval of the negotiated business arrangement, to include all associated documentation. Agreement Clearance review shall be requested only after negotiations have concluded, the Agreement file is finalized, to include the Agreement Analysis Document, and prior to the Agreements Officer signing the Agreement.

5. Agreement Terminations [2]

Equal to or less than $2M	Peer Review, Legal	FCO
Greater than $2M	Peer Review, FCO, Legal, & SOF AT&L-KM	HCA

[1] When the FCO is also the AO, approval authority is one level above the Agreements officer.
[2] When the FCO is also the AO, the approval authority will be the HCA.

Attachment 5601-2.2 c Table Of Contents

APPROVAL LEVELS OF AUTHORITY AND DOCUMENT REVIEW – January 2020

(unless further restricted by individual Agreements Officer warrant levels)

TAKO

ACTION	REVIEW	APPROVAL
1. Use of OT Authority D&F [1]		
NOTE: See Note 1 for calculating the value of OTs that include multiple transactions, phases, or follow-on production.		
Competitive OT Procedures:		
Equal to or less than $2M	Peer Review, Legal	AO
Greater than $2M, but less than $5M	Peer Review, Legal	FCO
Equal to or greater than $5M, but less than $100M	Peer Review, FCO, Legal	SOF AT&L-KB
Equal to or greater than $100M, but less than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)
Equal to or greater than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)
Sole source / Non-competitive OT :		
Equal to or less than $1M	Peer Review, Legal	AO
Greater than $1M, but less than $5M	Peer Review, Legal	FCO
Equal to or greater than $5M, but less than $100M	Peer Review, FCO, Legal	SOF AT&L-KB
Equal to or greater than $100M, but less than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)
Equal to or greater than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)
Using other than RDT&E Funds:		

Equal to or less than $250,000	Peer Review, Legal	AO
Greater than $250,000, but less than $5M	Peer Review, Legal	FCO
Equal to or greater than $5M, but less than $100M	Peer Review, FCO, Legal	SOF AT&L-KB
Equal to or greater than $100M, but less than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)
Equal to or greater than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)

2.Requests for Proposals, Solicitations, and Requests for White Papers [1]

Equal to or less than $2M	Peer Review, Legal	AO
Greater than $2M, but less than $5M	Peer Review, Legal	FCO
Equal to or greater than $5M	Peer Review, FCO, Legal	SOF AT&LKB

4. Agreement Clearance [1]

Equal to or less than $2M	Peer Review, Legal	AO
Greater than $2M, but less than $5M	Peer Review, Legal	FCO
Equal to or greater than $5M	Peer Review, FCO, Legal	SOF AT&L-KB

Using other than RDT&E Funds:

Equal to or less than $250,000	Peer Review, Legal	AO
Greater than $250,000, but less than $5M	Peer Review, Legal	FCO
Equal to or greater than $5M	Peer Review, FCO, Legal	SOF AT&L-KB

NOTE: The Agreement Clearance is the approval of the negotiated business arrangement, to include all associated documentation. Agreement Clearance review shall be requested only after negotiations have concluded, the Agreement file is finalized, to include the Agreement Analysis Document, and prior to the Agreements Officer signing the Agreement.

5. Agreement Terminations [2]

Equal to or less than $2M	Peer Review, Legal	FCO
Greater than $2M	Peer Review, FCO, Legal, & SOF AT&L-KB	HCA

[1] When the FCO is also the AO, approval authority is one level above the Agreements officer.
[2] When the FCO is also the AO, the approval authority will be the HCA.

Attachment 5601-2.2 d Table Of Contents

APPROVAL LEVELS OF AUTHORITY AND DOCUMENT REVIEW – January 2020

(unless further restricted by individual Agreements Officer warrant levels)

USASOC

ACTION	**REVIEW**	**APPROVAL**

1. Use of OT Authority D&F [1]

NOTE: See Note 1 for calculating the value of OTs that include multiple transactions, phases, or follow-on production.

<u>Competitive OT Procedures:</u>

Equal to or less than $2M	Peer Review, Legal	AO
Greater than $2M, but less than $5M	Peer Review, Legal	FCO
Equal to or greater than $5M, but less than $100M	Peer Review, FCO, Legal	SOF AT&L-KB

Equal to or greater than $100M, but less than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)
Equal to or greater than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)

Sole source / Non-competitive OT :

Equal to or less than $1M	Peer Review, Legal	AO
Greater than $1M, but less than $5M	Peer Review, Legal	FCO
Equal to or greater than $5M, but less than $100M	Peer Review, FCO, Legal	SOF AT&L-KB
Equal to or greater than $100M, but less than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)
Equal to or greater than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)

Using other than RDT&E Funds:

Equal to or less than $250,000	Peer Review, Legal	AO
Greater than $250,000, but less than $5M	Peer Review, Legal	FCO
Equal to or greater than $5M, but less than $100M	Peer Review, FCO, Legal	SOF AT&L-KB
Equal to or greater than $100M, but less than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)
Equal to or greater than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)

2.Requests for Proposals, Solicitations, and Requests for White Papers [1]

Equal to or less than $2M	Peer Review, Legal	AO
Greater than $2M, but less than $5M	Peer Review, Legal	FCO
Equal to or greater than $5M	Peer Review, FCO, Legal	SOF AT&LKB

4. Agreement Clearance [1]

Equal to or less than $2M	Peer Review, Legal	AO
Greater than $2M, but less than $5M	Peer Review, Legal	FCO
Equal to or greater than $5M	Peer Review, FCO, Legal	SOF AT&L-KB

Using other than RDT&E Funds:

Equal to or less than $250,000	Peer Review, Legal	AO
Greater than $250,000, but less than $5M	Peer Review, Legal	FCO
Equal to or greater than $5M	Peer Review, FCO, Legal	SOF AT&L-KB

NOTE: The Agreement Clearance is the approval of the negotiated business arrangement, to include all associated documentation. Agreement Clearance review shall be requested only after negotiations have concluded, the Agreement file is finalized, to include the Agreement Analysis Document, and prior to the Agreements Officer signing the Agreement.

5. Agreement Terminations [2]

Equal to or less than $2M	Peer Review, Legal	SOF AT&L-KB
Greater than $2M	Peer Review, FCO, Legal, & SOF AT&L-KB	HCA

[1] When the FCO is also the AO, approval authority is one level above the Agreements officer.
[2] When the FCO is also the AO, the approval authority will be the HCA.

Attachment 5601-2.2 e Table Of Contents

APPROVAL LEVELS OF AUTHORITY AND DOCUMENT REVIEW – January 2020

(unless further restricted by individual Agreements Officer warrant levels)

WARCOM

ACTION	**REVIEW**	**APPROVAL**
1. Use of OT Authority D&F [1]		
NOTE: See Note 1 for calculating the value of OTs that include multiple transactions, phases, or follow-on production.		
Competitive OT Procedures:		
Equal to or less than $1M	Peer Review, Legal	AO
Greater than $1M, but less than $2M	Peer Review, Legal	FCO
Equal to or greater than $2M, but less than $100M	Peer Review, FCO, Legal	SOF AT&L-KB
Equal to or greater than $100M, but less than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)
Equal to or greater than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)
Sole source / Non-competitive OT :		
Equal to or less than $500,000	Peer Review, Legal	AO
Greater than $500,000, but less than $2M	Peer Review, Legal	FCO
Equal to or greater than $2M, but less than $100M	Peer Review, FCO, Legal	SOF AT&L-KB

Equal to or greater than $100M, but less than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)
Equal to or greater than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)

Using other than RDT&E Funds:

Equal to or less than $250,000	Peer Review, Legal	AO
Greater than $250,000, but less than $2M	Peer Review, Legal	FCO
Equal to or greater than $2M, but less than $100M	Peer Review, FCO, Legal	SOF AT&L-KB
Equal to or greater than $100M, but less than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)
Equal to or greater than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)

2.Requests for Proposals, Solicitations, and Requests for White Papers [1]

Equal to or less than $2M	Peer Review, Legal	AO
Greater than $2M, but less than $5M	Peer Review, Legal	FCO
Equal to or greater than $5M	Peer Review, FCO, Legal	SOF AT&LKB

4. Agreement Clearance [1]

Equal to or less than $1M	Peer Review, Legal	AO
Greater than $1M, but less than $2M	Peer Review, Legal	FCO
Equal to or greater than $2M	Peer Review, FCO, Legal	SOF AT&L-KB

Using other than RDT&E Funds:

Equal to or less than $250,000	Peer Review, Legal	AO
Greater than $250,000, but less than $2M	Peer Review, Legal	FCO
Equal to or greater than $2M	Peer Review, FCO, Legal	SOF AT&L-KB

NOTE: The Agreement Clearance is the approval of the negotiated business arrangement, to include all associated documentation. Agreement Clearance review shall be requested only after negotiations have concluded, the Agreement file is finalized, to include the Agreement Analysis Document, and prior to the Agreements Officer signing the Agreement.

5. Agreement Terminations [2]

Equal to or less than $2M	Peer Review, Legal	FCO
Greater than $2M	Peer Review, FCO, Legal, & SOF AT&L-KB	HCA

[1] When the FCO is also the AO, approval authority is one level above the Agreements officer.
[2] When the FCO is also the AO, the approval authority will be the HCA.

Attachment 5601-2.2 f Table Of Contents

APPROVAL LEVELS OF AUTHORITY AND DOCUMENT REVIEW – January 2020

(unless further restricted by individual Agreements Officer warrant levels)

NSWDG

ACTION	**REVIEW**	**APPROVAL**

1. Use of OT Authority D&F [1]

NOTE: See Note 1 for calculating the value of OTs that include multiple transactions, phases, or follow-on production.

Competitive OT Procedures:

Equal to or less than $250,000	Peer Review, Legal	AO
Greater than $250,000, but less than $1M	Peer Review, Legal	FCO
Equal to or greater than $1M, but less than $100M	Peer Review, FCO, Legal	SOF AT&L-KB
Equal to or greater than $100M, but less than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)
Equal to or greater than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)

Sole source / Non-competitive OT :

Equal to or less than $250,000	Peer Review, Legal	AO
Greater than $250,000, but less than $1M	Peer Review, Legal	FCO
Equal to or greater than $1M, but less than $100M	Peer Review, FCO, Legal	SOF AT&L-KB
Equal to or greater than $100M, but less than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)
Equal to or greater than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)

Using other than RDT&E Funds:

Equal to or less than $100,000	Peer Review, Legal	AO
Greater than $100,000, but less than $1M	Peer Review, Legal	FCO
Equal to or greater than $1M, but less than $100M	Peer Review, FCO, Legal	SOF AT&L-KB

Equal to or greater than $100M, but less than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)
Equal to or greater than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)

2.Requests for Proposals, Solicitations, and Requests for White Papers [1]

Equal to or less than $250,000	Peer Review, Legal	AO
Greater than $250,000, but less than $1M	Peer Review, Legal	FCO
Equal to or greater than $1M	Peer Review, FCO, Legal	SOF AT&LKB

4. Agreement Clearance [1]

Equal to or less than $250,000	Peer Review, Legal	AO
Greater than $250,000, but less than $1M	Peer Review, Legal	FCO
Equal to or greater than $1M	Peer Review, FCO, Legal	SOF AT&L-KB

Using other than RDT&E Funds:

Equal to or less than $100,000	Peer Review, Legal	AO
Greater than $100,000, but less than $1M	Peer Review, Legal	FCO
Equal to or greater than $1M	Peer Review, FCO, Legal	SOF AT&L-KB

NOTE: The Agreement Clearance is the approval of the negotiated business arrangement, to include all associated documentation. Agreement Clearance review shall be requested only after negotiations have concluded, the Agreement file is finalized, to include the Agreement Analysis Document, and prior to the Agreements Officer signing the Agreement.

5. Agreement Terminations2

Equal to or less than $2M	Peer Review, Legal	SOF AT&L-KB
Greater than $2M	Peer Review, FCO, Legal, & SOF AT&L-KB	HCA

[1] When the FCO is also the AO, approval authority is one level above the Agreements officer.
[2] When the FCO is also the AO, the approval authority will be the HCA.

Attachment 5601-2.2 g Table Of Contents

APPROVAL LEVELS OF AUTHORITY AND DOCUMENT REVIEW – January 2020

(unless further restricted by individual Agreements Officer warrant levels)

MARSOC

ACTION	**REVIEW**	**APPROVAL**
1. Use of OT Authority D&F [1]		
NOTE: See Note 1 for calculating the value of OTs that include multiple transactions, phases, or follow-on production.		
Competitive OT Procedures:		
Equal to or less than $250,000	Peer Review, Legal	AO
Greater than $250,000, but less than $1M	Peer Review, Legal	FCO
Equal to or greater than $1M, but less than $100M	Peer Review, FCO, Legal	SOF AT&L-KB
Equal to or greater than $100M, but less than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)

Equal to or greater than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)
Sole source / Non-competitive OT :		
Equal to or less than $250,000	Peer Review, Legal	AO
Greater than $250,000, but less than $1M	Peer Review, Legal	FCO
Equal to or greater than $1M, but less than $100M	Peer Review, FCO, Legal	SOF AT&L-KB
Equal to or greater than $100M, but less than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)
Equal to or greater than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)
Using other than RDT&E Funds:		
Equal to or less than $100,000	Peer Review, Legal	AO
Greater than $100,000, but less than $1M	Peer Review, Legal	FCO
Equal to or greater than $1M, but less than $100M	Peer Review, FCO, Legal	SOF AT&L-KB

Equal to or greater than $100M, but less than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)
Equal to or greater than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)
2.Requests for Proposals, Solicitations, and Requests for White Papers [1]		
Equal to or less than $250,000	Peer Review, Legal	AO
Greater than $250,000, but less than $1M	Peer Review, Legal	FCO
Equal to or greater than $1M	Peer Review, FCO, Legal	SOF AT&LKB
4. Agreement Clearance [1]		
http://farsite.hill.af.mil/reghtml/regs/far2afmcfars/fardfars/dfars/PGI%20201_1.htmEqual to or less than $250,000	Peer Review, Legal	AO
Greater than $250,000, but less than $1M	Peer Review, Legal	FCO
Equal to or greater than $1M	Peer Review, FCO, Legal	SOF AT&L-KB
Using other than RDT&E Funds:		
Equal to or less than $100,000	Peer Review, Legal	AO
Greater than $100,000, but less than $1M	Peer Review, Legal	FCO

Equal to or greater than $1M	Peer Review, FCO, Legal	SOF AT&L-KB

NOTE: The Agreement Clearance is the approval of the negotiated business arrangement, to include all associated documentation. Agreement Clearance review shall be requested only after negotiations have concluded, the Agreement file is finalized, to include the Agreement Analysis Document, and prior to the Agreements Officer signing the Agreement.

5. Agreement Terminations [2]

Equal to or less than $2M	Peer Review, Legal	FCO
Greater than $2M	Peer Review, FCO, Legal, & SOF AT&L-KB	HCA

[1] When the FCO is also the AO, approval authority is one level above the Agreements officer.
[2] When the FCO is also the AO, the approval authority will be the HCA.

Attachment 5601-2.2 h Table Of Contents

APPROVAL LEVELS OF AUTHORITY AND DOCUMENT REVIEW – January 2020

(unless further restricted by individual Agreements Officer warrant levels)

AID and RDA

ACTION	REVIEW	APPROVAL

1. Use of OT Authority D&F [1]

NOTE: See Note 1 for calculating the value of OTs that include multiple transactions, phases, or follow-on production.

Competitive OT Procedures:

Equal to or less than $1M	Peer Review, Legal	AO
Greater than $1M, but less than $2M	Peer Review, Legal	FCO

Equal to or greater than $2M, but less than $100M	Peer Review, FCO, Legal	SOF AT&L-KB
Equal to or greater than $100M, but less than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)
Equal to or greater than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)
Sole source / Non-competitive OT :		
Equal to or less than $500,000	Peer Review, Legal	AO
Greater than $500,000, but less than $2M	Peer Review, Legal	FCO
Equal to or greater than $2M, but less than $100M	Peer Review, FCO, Legal	SOF AT&L-KB
Equal to or greater than $100M, but less than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)
Equal to or greater than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)
Using other than RDT&E Funds:		
Equal to or less than $250,000	Peer Review, Legal	AO
Greater than $250,000, but less than $2M	Peer Review, Legal	FCO
Equal to or greater than $2M, but less than $100M	Peer Review, FCO, Legal	SOF AT&L-KB
Equal to or greater than $100M, but less than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)

Equal to or greater than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)

2.Requests for Proposals, Solicitations, and Requests for White Papers [1]

Equal to or less than $2M	Peer Review, Legal	AO
Greater than $2M, but less than $5M	Peer Review, Legal	FCO
Equal to or greater than $5M	Peer Review, FCO, Legal	SOF AT&LKB

4. Agreement Clearance [1]

Equal to or less than $1M	Peer Review, Legal	AO
Greater than $1M, but less than $2M	Peer Review, Legal	FCO
Equal to or greater than $2M	Peer Review, FCO, Legal	SOF AT&L-KB

Using other than RDT&E Funds:

Equal to or less than $250,000	Peer Review, Legal	AO
Greater than $250,000, but less than $2M	Peer Review, Legal	FCO
Equal to or greater than $50M	Peer Review, FCO, Legal	SOF AT&L-KB

NOTE: The Agreement Clearance is the approval of the negotiated business arrangement, to include all associated documentation. Agreement Clearance review shall be requested only after negotiations have concluded, the Agreement file is finalized, to include the Agreement Analysis Document, and prior to the Agreements Officer signing the Agreement.

5. Agreement Terminations [2]

Equal to or less than $2M	Peer Review, Legal	FCO
Greater than $2M	Peer Review, FCO, Legal, & SOF AT&L-KB	HCA

[1] When the FCO is also the AO, approval authority is one level above the Agreements officer.
[2] When the FCO is also the AO, the approval authority will be the HCA.

Attachment 5601-2.2 i Table Of Contents

APPROVAL LEVELS OF AUTHORITY AND DOCUMENT REVIEW – January 2020

(unless further restricted by individual Agreements Officer warrant levels)

RCO-Joint, RCO-Pope, RCO-Pope2 and RCO-Bragg

ACTION	**REVIEW**	**APPROVAL**
1. Use of OT Authority D&F [1]		
NOTE: See Note 1 for calculating the value of OTs that include multiple transactions, phases, or follow-on production.		
Competitive OT Procedures:		
Equal to or less than $250,000	Peer Review, Legal	AO
Greater than $250,000, but less than $1M	Peer Review, Legal	FCO
Equal to or greater than $1M, but less than $100M	Peer Review, FCO, Legal	SOF AT&L-KB
Equal to or greater than $100M, but less than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)
Equal to or greater than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)
Sole source / Non-competitive OT:		
Equal to or less than $250,000	Peer Review, Legal	AO

Greater than $250,000, but less than $1M	Peer Review, Legal	FCO
Equal to or greater than $1M, but less than $100M	Peer Review, FCO, Legal	SOF AT&L-KB
Equal to or greater than $100M, but less than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)
Equal to or greater than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)

Using other than RDT&E Funds:

Equal to or less than $100,000	Peer Review, Legal	AO
Greater than $100,000, but less than $1M	Peer Review, Legal	FCO
Equal to or greater than $1M, but less than $100M	Peer Review, FCO, Legal	SOF AT&L-KB
Equal to or greater than $100M, but less than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)
Equal to or greater than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)

2.Requests for Proposals, Solicitations, and Requests for White Papers [1]

Equal to or less than $250,000	Peer Review, Legal	AO
Greater than $250,000, but less than $1M	Peer Review, Legal	FCO
Equal to or greater than $1M	Peer Review, FCO, Legal	SOF AT&LKB

4. Agreement Clearance [1]

Equal to or less than $250,000	Peer Review, Legal	AO
Greater than $250,000, but less than $1M	Peer Review, Legal	FCO
Equal to or greater than $1M	Peer Review, FCO, Legal	SOF AT&L-KB

Using other than RDT&E Funds:

Equal to or less than $100,000	Peer Review, Legal	AO
Greater than $100,000, but less than $1M	Peer Review, Legal	FCO
Equal to or greater than 1M	Peer Review, FCO, Legal	SOF AT&L-KB

NOTE: The Agreement Clearance is the approval of the negotiated business arrangement, to include all associated documentation. Agreement Clearance review shall be requested only after negotiations have concluded, the Agreement file is finalized, to include the Agreement Analysis Document, and prior to the Agreements Officer signing the Agreement.

5. Agreement Terminations [2]

Equal to or less than $2M	Peer Review, Legal	FCO
Greater than $2M	Peer Review, FCO, Legal, & SOF AT&L-KB	HCA

[1] When the FCO is also the AO, approval authority is one level above the Agreements officer.
[2] When the FCO is also the AO, the approval authority will be the HCA.

Attachment 5601-2.2 j Table Of Contents

APPROVAL LEVELS OF AUTHORITY AND DOCUMENT REVIEW – January 2020

(unless further restricted by individual Agreements Officer warrant levels)

SP-1 and SP-2

ACTION **REVIEW** **APPROVAL**

1. Use of OT Authority D&F [1]

NOTE: See Note 1 for calculating the value of OTs that include multiple transactions, phases, or follow-on production.

Competitive OT Procedures:

Equal to or less than $500,000	Peer Review, Legal	AO
Greater than $500,000, but less than $1M	Peer Review, Legal	FCO
Equal to or greater than $1M, but less than $100M	Peer Review, FCO, Legal	SOF AT&L-KB
Equal to or greater than $100M, but less than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)
Equal to or greater than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)

Sole source / Non-competitive OT:

Equal to or less than $250,000	Peer Review, Legal	AO
Greater than $250,000, but less than $1M	Peer Review, Legal	FCO
Equal to or greater than $50M, but less than $100M	Peer Review, FCO, Legal	SOF AT&L-KB
Equal to or greater than $100M, but less than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)

Equal to or greater than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)
Using other than RDT&E Funds:		
Equal to or less than $100,000	Peer Review, Legal	AO
Greater than $100,000, but less than $1M	Peer Review, Legal	FCO
Equal to or greater than $50M, but less than $100M	Peer Review, FCO, Legal	SOF AT&L-KB
Equal to or greater than $100M, but less than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)
Equal to or greater than $500M	Peer Review, FCO, Legal, SOF AT&L-KB, HCA, & SPE	USD(R&E) or USD(A&S)
2.Requests for Proposals, Solicitations, and Requests for White Papers [1]		
Equal to or less than $500,000	Peer Review, Legal	AO
Greater than $500,000, but less than $1M	Peer Review, Legal	FCO
Equal to or greater than $1M	Peer Review, FCO, Legal	SOF AT&LKB
4. Agreement Clearance [1]		

http://farsite.hill.af.mil/reghtml/regs/far2afmcfars/fardfars/dfars/PGI%20201_1.htmEqual to or less than $500,000	Peer Review, Legal	AO
Greater than $500,000, but less than $1M	Peer Review, Legal	FCO
Equal to or greater than $1M	Peer Review, FCO, Legal	SOF AT&L-KB

Using other than RDT&E Funds:

Equal to or less than $100,000	Peer Review, Legal	AO
Greater than $100,000, but less than $1M	Peer Review, Legal	FCO
Equal to or greater than $1M	Peer Review, FCO, Legal	SOF AT&L-KB

NOTE: The Agreement Clearance is the approval of the negotiated business arrangement, to include all associated documentation. Agreement Clearance review shall be requested only after negotiations have concluded, the Agreement file is finalized, to include the Agreement Analysis Document, and prior to the Agreements Officer signing the Agreement.

5. Agreement Terminations [2]

Equal to or less than $2M	Peer Review, Legal	FCO
Greater than $2M	Peer Review, FCO, Legal, & SOF AT&L-KB	HCA

[1] When the FCO is also the AO, approval authority is one level above the Agreements officer.
[2] When the FCO is also the AO, the approval authority will be the HCA.

Attachment 5601-2.2 k Table Of Contents

APPROVAL LEVELS OF AUTHORITY AND DOCUMENT REVIEW – January 2020

(unless further restricted by individual Agreements Officer warrant levels)

SOF AT&L-KX, TSOCs, Contingency Operations, 24 th SOW, and 905th

ACTION	REVIEW	APPROVAL
1. Use of OT Authority D&F [1]		
NOTE: See Note 1 for calculating the value of OTs that include multiple transactions, phases, or follow-on production.		
Competitive OT Procedures:		
Equal to or less than $150,000	Peer Review, Legal	AO
Greater than $150,000, but less than $250,000	Peer Review, Legal	FCO
Equal to or greater than $250,000, but less than $100M	Peer Review, FCO, Legal	SOF AT&L-KX
Equal to or greater than $100M, but less than $500M	Peer Review, FCO, Legal, SOF AT&L-KX, HCA, & SPE	USD(R&E) or USD(A&S)
Equal to or greater than $500M	Peer Review, FCO, Legal, SOF AT&L-KX, HCA, & SPE	USD(R&E) or USD(A&S)
Sole source / Non-competitive OT:		
Equal to or less than $100,000	Peer Review, Legal	AO
Greater than $100,000, but less than $250,000	Peer Review, Legal	FCO
Equal to or greater than $250,000, but less than $100M	Peer Review, FCO, Legal	SOF AT&L-KX

Equal to or greater than $100M, but less than $500M	Peer Review, FCO, Legal, SOF AT&L-KX, HCA, & SPE	USD(R&E) or USD(A&S)
Equal to or greater than $500M	Peer Review, FCO, Legal, SOF AT&L-KX, HCA, & SPE	USD(R&E) or USD(A&S)
Using other than RDT&E Funds:		
Equal to or less than $50,000	Peer Review, Legal	AO
Greater than $50,000, but less than $250,000	Peer Review, Legal	FCO
Equal to or greater than $250,000, but less than $100M	Peer Review, FCO, Legal	SOF AT&L-KX
Equal to or greater than $100M, but less than $500M	Peer Review, FCO, Legal, SOF AT&L-KX, HCA, & SPE	USD(R&E) or USD(A&S)
Equal to or greater than $500M	Peer Review, FCO, Legal, SOF AT&L-KX, HCA, & SPE	USD(R&E) or USD(A&S)
2.Requests for Proposals, Solicitations, and Requests for White Papers [1]		
Equal to or less than $150,000	Peer Review, Legal	AO
Greater than $150,000, but less than $250,000	Peer Review, Legal	FCO

Equal to or greater than $250,000	Peer Review, FCO, Legal	SOF AT&LKX

4. Agreement Clearance [1]

http://farsite.hill.af.mil/reghtml/regs/far2afmcfars/fardfars/dfars/PGI%20201_1.htmEqual to or less than $150,000	Peer Review, Legal	AO
Greater than $150,000, but less than $250,000	Peer Review, Legal	FCO
Equal to or greater than $250,000	Peer Review, FCO, Legal	SOF AT&L-KX

Using other than RDT&E Funds:

Equal to or less than $50,000	Peer Review, Legal	AO
Greater than $50,000, but less than $250,000	Peer Review, Legal	FCO
Equal to or greater than $250,000	Peer Review, FCO, Legal	SOF AT&L-KX

NOTE: The Agreement Clearance is the approval of the negotiated business arrangement, to include all associated documentation. Agreement Clearance review shall be requested only after negotiations have concluded, the Agreement file is finalized, to include the Agreement Analysis Document, and prior to the Agreements Officer signing the Agreement.

5. Agreement Terminations [2]

Equal to or less than $2M	Peer Review, Legal	FCO
Greater than $2M	Peer Review, FCO, Legal, & SOF AT&L-KX	HCA

[1] When the FCO is also the AO, approval authority is one level above the Agreements officer.
[2] When the FCO is also the AO, the approval authority will be the HCA.