FEDERAL ACQUISITION CIRCULAR

May 2, 2011

Number 2005-51 Effective May 2, 2011 Looseleaf pages

Federal Acquisition Circular (FAC) 2005-51 is issued under the authority of the Secretary of Defense, the Administrator of General Services, and the Administrator for the National Aeronautics and Space Administration.

Unless otherwise specified, all Federal Acquisition Regulation (FAR) and other directive material contained in FAC 2005-51 is effective April 1, 2011, except for Item II, which is effective May 2, 2011.

FAC 2005-50 FILING INSTRUCTIONS

NOTE: The following pages reflect FAR final rule amendments. Please do not file these pages until their effective date of May 2, 2011.

Remove Pages	<u> Insert Pages</u>
53.2-1 and 53.2-2	53.2-1 and 53.2-2
53.3-13 and 53.3-14	53.3-13 and 53.3-14

Subpart 53.2—Prescription of Forms

53.200 Scope of subpart.

This subpart prescribes standard forms and references optional forms and agency-prescribed forms for use in acquisition. Consistent with the approach used in <u>Subpart 52.2</u>, this subpart is arranged by subject matter, in the same order as, and keyed to, the parts of the FAR in which the form usage requirements are addressed. For example, forms addressed in FAR <u>Part 14</u>, Sealed Bidding, are treated in this subpart in section <u>53.214</u>, Sealed Bidding; forms addressed in FAR <u>Part 43</u>, Contract Modifications, are treated in this subpart in section <u>53.243</u>, Contract modifications. The following example illustrates how the subjects are keyed to the parts in which they are addressed:

53.243 Contract Modifications (SF 30).

SECTION (KEYED TO FAR PART CONTAINING SUBJECT MATTER: USAGE REQUIREMENTS FOR SF 30 ARE ADDRESSED IN PART 43, CONTRACT MODIFICATIONS)

PART AND SUBPART (INVARIABLE)

53.201 Federal acquisition system.

53.201-1 Contracting authority and responsibilities (SF 1402).

<u>SF 1402</u> (10/83), Certificate of Appointment. <u>SF 1402</u> is prescribed for use in appointing contracting officers, as specified in <u>1.603-3</u>.

53.202 [Reserved]

53.203 [Reserved]

53.204 Administrative matters.

53.204-1 Safeguarding classified information within industry (DD Form 254, DD Form 441).

The following forms, which are prescribed by the Department of Defense, shall be used by agencies covered by the Defense Industrial Security Program if contractor access to classified information is required, as specified in and the clause at 52.204-2:

- (a) <u>DD Form 254</u> (Department of Defense (DoD)), Contract Security Classification Specification. (See 4.403(c)(1).)
- (b) <u>DD Form 441</u> (DoD), Security Agreement. (See paragraph (b) of the clause at <u>52.204-2</u>.)

53.204-2 [Reserved]

53.205 Publicizing contract actions.

53.205-1 Paid advertisements.

<u>SF 1449</u>, prescribed in <u>53.212</u>, shall be used to place orders for paid advertisements as specified in 5.503.

53.206 [Reserved]

53.207 [Reserved]

53.208 [Reserved]

53.209 Contractor qualifications.

53.209-1 Responsible prospective contractors.

The following forms are prescribed for use in conducting preaward surveys of prospective contractors, as specified in 9.106-1, 9.106-2, and 9.106-4:

- (a) <u>SF 1403</u> (Rev. 9/88), Preaward Survey of Prospective Contractor (General). <u>SF 1403</u> is authorized for local reproduction.
- (b) <u>SF 1404</u> (Rev. 9/88), Preaward Survey of Prospective Contractor—Technical. <u>SF 1404</u> is authorized for local reproduction.
- (c) <u>SF 1405</u> (Rev. 9/88), Preaward Survey of Prospective Contractor—Production. <u>SF 1405</u> is authorized for local reproduction.
- (d) <u>SF 1406</u> (Rev. 11/97), Preaward Survey of Prospective Contractor—Quality Assurance. <u>SF 1406</u> is authorized for local reproduction.
- (e) <u>SF 1407</u> (Rev. 9/88), Preaward Survey of Prospective Contractor—Financial Capability. <u>SF 1407</u> is authorized for local reproduction.
- (f) <u>SF 1408</u> (Rev. 9/88), Preaward Survey of Prospective Contractor—Accounting System. <u>SF 1408</u> is authorized for local reproduction.

53.210 [Reserved]

53.211 [Reserved]

53.212 Acquisition of commercial items.

<u>SF 1449</u> (Rev. 10/2010), Solicitation/Contract/Order for Commercial Items. <u>SF 1449</u> is prescribed for use in solicitations and contracts for commercial items. Agencies may prescribe additional detailed instructions for use of the form.

53.213 Simplified acquisition procedures (SF's 18, 30, 44, 1165, 1449, and OF's 336, 347, and 348).

The following forms are prescribed as stated in this section for use in simplified acquisition procedures, orders under existing contracts or agreements, and orders from required sources of supplies and services:

- (a) <u>SF 18</u> (Rev. 6/95), Request for Quotations, or <u>SF 1449</u> (Rev. 10/2010), Solicitation/Contract/Order for Commercial Items. <u>SF 18</u> is prescribed for use in obtaining price, cost, delivery, and related information from suppliers as specified in <u>13.307(b)</u>. <u>SF 1449</u>, as prescribed in <u>53.212</u>, or other agency forms/automated formats, may also be used to obtain price, cost, delivery, and related information from suppliers as specified in <u>13.307(b)</u>.
- (b) <u>SF 30</u> (Rev. 10/83), Amendment of Solicitation/ Modification of Contract. <u>SF 30</u>, prescribed in <u>53.243</u>, may be used for modifying purchase orders, as specified in 13.307(c)(3).
- (c) <u>SF 44</u> (*Rev. 10/83*), *Purchase Order Invoice Voucher*. <u>SF 44</u> is prescribed for use in simplified acquisition procedures, as specified in 13.306.
- (d) <u>SF 1165</u> (6/83 Ed.), Receipt for Cash-Subvoucher. <u>SF 1165</u> (GAO) may be used for imprest fund purchases, as specified in 13.307(e).
- (e) <u>OF 336 (4/86 Ed.)</u>, Continuation Sheet. <u>OF 336</u>, prescribed in <u>53.214(h)</u>, may be used as a continuation sheet in solicitations, as specified in <u>13.307(c)(1)</u>.
- (f) <u>SF 1449</u>, (Rev. 10/2010) Solicitation/Contract/Order for Commercial Items prescribed in <u>53.212</u>, <u>OF 347</u> (Rev. 10/2010), Order for Supplies or Services, and <u>OF 348</u> (Rev. 4/06), Order for Supplies or Services—Schedule Continuation. <u>SF 1449</u>, OF's <u>347</u> and <u>348</u> (or approved agency forms/automated formats) may be used as follows:
- (1) To accomplish acquisitions under simplified acquisition procedures, as specified in <u>13.307</u>.
- (2) To establish blanket purchase agreements (BPA's), as specified in 13.303-2, and to make purchases under BPA's, as specified in 13.303-5.
- (3) To issue orders under basic ordering agreements, as specified in 16.703(d)(2)(i).
- (4) As otherwise specified in this chapter (*e.g.*, see $\underline{5.503}$ (a)(2), $\underline{8.406-1}$, $\underline{36.701}$ (b), and $\underline{51.102}$ (e)(3)(ii)).

53.214 Sealed bidding.

The following forms are prescribed for use in contracting by sealed bidding (except for construction and architect-engineer services):

- (a) <u>SF 26</u> (Rev. 5/2011), Award/Contract. <u>SF 26</u> is prescribed for use in awarding sealed bid contracts for supplies or services in which bids were obtained on <u>SF 33</u>, Solicitation, Offer and Award, as specified in <u>14.408-1</u>(d)(1). Block 18 may only be used for sealed-bid procurements.
- (b) <u>SF 30</u>, Amendment of Solicitation/Modification of Contract. <u>SF 30</u>, prescribed in <u>53.243</u>, shall be used in amending invitations for bids, as specified in 14.208(a).
- (c) <u>SF 33</u> (Rev. 9/97), Solicitation, Offer and Award. <u>SF 33</u> is prescribed for use in soliciting bids for supplies or services and for awarding the contracts that result from the bids, as

- specified in $\underline{14.201-2}$ (a)(1), unless award is accomplished by SF 26.
- (d) <u>SF 1447</u> (*Rev. 11/2010*), Solicitation/Contract. <u>SF 1447</u> is prescribed for use in soliciting supplies or services and for awarding contracts that result from the bids. It shall be used when the simplified contract format is used (see <u>14.201-9</u>) and may be used in place of the <u>SF 26</u> or <u>SF 33</u> with other solicitations and awards. Agencies may prescribe additional detailed instructions for use of the form.
 - (e) [Reserved]
- (f) <u>SF 1409</u> (Rev. 9/88), Abstract of Offers, and <u>SF 1410</u> (9/88), Abstract of Offers—Continuation. <u>SF 1409</u> and <u>SF 1410</u> are prescribed for use in recording bids, as specified in 14.403(a).
- (g) <u>OF 17</u> (Rev. 12/93), Offer Label. <u>OF 17</u> may be furnished with each invitation for bids to facilitate identification and handling of bids, as specified in <u>14.202-3</u>(b).
- (h) <u>OF 336</u> (Rev. 3/86), Continuation Sheet. <u>OF 336</u> may be used as a continuation sheet in solicitations, as specified in 14.201-2(b).

53.215 Contracting by negotiation.

53.215-1 Solicitation and receipt of proposals.

The following forms are prescribed, as stated in the following paragraphs, for use in contracting by negotiation (except for construction, architect-engineer services, or acquisitions made using simplified acquisition procedures):

- (a) <u>SF 26</u> (*Rev. 5/2011*), *Award/Contract.* <u>SF 26</u>, prescribed in <u>53.214</u>(a), may be used in entering into negotiated contracts in which the signature of both parties on a single document is appropriate, as specified in <u>15.509</u>. Block 18 may not be used for negotiated procurements.
- (b) <u>SF 30</u> (Rev. 10/83), Amendment of Solicitation/Modification of Contract. <u>SF 30</u>, prescribed in <u>53.243</u>, may be used for amending requests for proposals and for amending requests for information, as specified in 15.210(b).
- (c) $\underline{SF\ 33}$ (Rev. 9/97), Solicitation, Offer and Award. $\underline{SF\ 33}$, prescribed in $\underline{53.214}(c)$, may be used in connection with the solicitation and award of negotiated contracts. Award of such contracts may be made by either $\underline{OF\ 307}$, $\underline{SF\ 33}$, or $\underline{SF\ 26}$, as specified in $\underline{53.214}(c)$ and $\underline{15.509}$.
- (d) <u>OF 17</u> (Rev. 12/93), Offer Label. <u>OF 17</u> may be furnished with each request for proposals to facilitate identification and handling of proposals, as specified in <u>15.210(c)</u>.
- (e) <u>OF 307</u> (Rev. 9/97), Contract Award. <u>OF 307</u> may be used to award negotiated contracts as specified in 15.509.
- (f) <u>OF 308</u> (Rev. 9/97), Solicitation and Offer-Negotiated Acquisition. <u>OF 308</u> may be used to support solicitation of negotiated contracts as specified in <u>15.210</u>(a). Award of such contracts may be made by <u>OF 307</u>, as specified in <u>15.509</u>.

Standard Form 26

[Go to http://www.gsa.gov/forms to access form.]