

U.S. COMMODITY FUTURES TRADING COMMISSION

Three Lafayette Centre, 1155 21st Street, NW, Washington, DC 20581 www.cftc.gov

CFTC Class Deviation RFO-2025-09 – Supplement 1

TO: CFTC's Procurement Office

FROM: William M. Roberson, Senior Procurement Executive

DATE: December 16, 2025

SUBJECT: Supplement to CFTC FAR Implementing Deviation for RFO FAR Part 9 in

Support of Executive Order 14275, Restoring Common Sense to Federal

Procurement.

1. Purpose: This supplement provides updates and amendments to CFTC's implementing deviation for RFO FAR part 9.

2. Background: In accordance with Executive Order (E.O.) 14275, Restoring Common Sense to Federal Procurement, between May and September 2025, the FAR Council issued model deviation text by FAR part in support of the Revolutionary FAR Overhaul (RFO) initiative. This initiative is intended to make the FAR more concise, understandable, and focused on core procurement requirements.

In accordance with OMB Memorandum M-25-26, CFTC issued implementing class deviations following release of each RFO FAR part deviation text. More information about the purpose of the RFO initiative and background on individual model deviation language can be found in the original CFTC implementing deviation for each part.

Subsequent review has identified the need to update and amend CFTC's implementing deviation for RFO FAR part 9. The specific changes and rationale are discussed below.

3. Summary of Supplemental Updates

RFO FAR part 9	
Background	The CFTC implementing deviation stated that all existing provisions and clauses are retained (or remain reserved if previously reserved) with no changes to the text. The implementing class deviation is amended as follows to highlight that some provisions and clauses were updated.
Supplement Update 1	The third bullet in the "Retained" section of the table in Section 3, "Summary of Changes", is updated as follows:
	From : All existing provisions and clauses are retained (or remain reserved if previously reserved) with no changes to the text.
	 To: The following provision and clause are retained (or remain reserved if previously reserved) with no changes to the text: 52.209-8 remains reserved 52.509-12 (Provision), Certification Regarding Tax Matters
	• 52.209-14 (Clause), Reserve Officer Training Corps and Military Recruiting on Campus
Supplement Update 2	A new section titled "Updated" is added to the table in Section 3, "Summary of Changes". The following bullet is added:
	 The following provisions and clauses have been updated to reflect plain language, update cross-references, or to correspond with updates made within the part: 52.209-1 (Clause), Qualification Requirements 52.209-2 (Provision), Prohibition on Contracting with Inverted Domestic Corporations-Representation 52.209-3 (Clause), First Article Approval-Contractor Testing Note - The Alternates are not updated 52.209-4 (Clause), First Article Approval-Government Testing Note - The Alternates are not updated 52.209-5 (Provision), Certification Regarding Responsibility Matters 52.209-6 (Clause), Protecting the Government's Interest When Subcontracting With Contractors Debarred, Suspended, Proposed for Debarment, or Voluntarily Excluded 52.209-7 (Provision) Information Regarding Responsibility Matters 52.209-9 (Clause), Updates of Publicly Available Information Regarding Responsibility Matters 52.209-10 (Clause), Prohibition on Contracting with Inverted Domestic Corporations 52.209-11 (Provision), Representation by Corporations Regarding Delinquent Tax Liability or a Felony Conviction under any Federal Law 52.209-13 (Provision) Violation of Arms Control Treaties or Agreements-Certification

Supplement Update 3	 Section 4, "Instructions", is updated to add the following bullets: For new solicitations or contracts, when using any provisions or clauses that have been revised, utilize the RFO model deviation language at RFO FAR part 52.
	 For open solicitations or awarded contracts, the contracting officer has discretion regarding the need to enforce or amend the provisions or clauses. Note that without some of the removed provisions or clauses, the contracting officer may be required to separately address certain aspects in the contract.
	All other bullets in Section 4, "Instructions" are unchanged.
Miscellaneous	 For ease of reference, GSA's Office of Acquisition Policy has created a helpful tool to easily find and sort provision and clause changes: <u>RFO</u> <u>Part 52 P&C Table</u>.

- **4. Applicability:** This supplement to the class deviation for RFO FAR part 9 applies to all CFTC procurements.
- **5. Authority:** This class deviation is issued under the authority of <u>E.O. 14275</u>, <u>OMB Memo M-25-26</u>, 48 CFR 1.4, and RFO FAR 1.304.
- **6. Effective Date:** This supplement is effective consistent with the effective date of the CFTC implementing deviation for RFO FAR part 9 (check Section 7 of the CFTC implementing deviation) and remains in effect until rescinded or incorporated into the FAR. All CFTC implementing deviations can be found on <u>Acquisition.gov/far-overhaul</u>.
- 7. **Point of Contact:** Questions regarding this supplement may be directed to CFTC's Senior Procurement Executive, William M. Roberson, at wroberson@cftc.gov.

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CFTC Class Deviation RFO-2025-09

TO: CFTC's Procurement Office

WILLIAM ROBERSON Digitally signed by WILLIAM ROBERSON Date: 2025.08.29 07:48:47 -04'00'

FROM: William M. Roberson, Senior Procurement Executive

DATE: August 29, 2025

SUBJECT: FAR Class Deviation for FAR Part 9 in Support of Executive Order 14275,

Restoring Common Sense to Federal Procurement.

1. **Purpose:** This memorandum approves a class deviation to Federal Acquisition Regulation (FAR) part 9 for purposes of implementing the Federal Acquisition Regulatory Council's (the Council's) model deviation text to FAR part 9.

2. Background: Executive Order (E.O.) 14275, Restoring Common Sense to Federal Procurement, signed April 15, 2025, mandates a comprehensive review and simplification of the FAR.

The FAR is being updated to:

- Eliminate non-statutory language
- Remove redundant or obsolete language
- Enhance clarity through plain language
- Align with the new FAR framework
- Preserve essential governmentwide acquisition standards

This project is referred to as the Revolutionary FAR Overhaul (RFO) initiative. This initiative will make the FAR more concise, understandable, and focused on core procurement requirements.

3. Summary of Changes: FAR part 9 - largely based in statute - establishes the standards and procedures for determining contractor responsibility and eligibility for federal contracts, ensuring that only qualified, reliable contractors receive government awards. It protects the government's interests by requiring contractors to demonstrate they have adequate financial resources, technical capability, integrity, and past performance to successfully fulfill contract requirements.

Statutory requirements and presidential directives retained in the RFO FAR part 9 model deviation include, but are not limited to, the following:

- 6 U.S.C. § 395, Prohibition on Contracts with Corporate Expatriates
- 10 U.S.C. § 3206 and 41 U.S.C. § 3306, Planning and Solicitation Requirements
- 10 U.S.C. § 3243 and 41 U.S.C. § 3311, Qualification Requirements
- 22 U.S.C. § 2593e, Measures Against Activities that Violate Arms Control Treaties
- 41 U.S.C. § 113, Responsible Source
- 41 U.S.C. § 2303, Ethics Safeguards Related to Contractor Conflicts of Interest
- 41 U.S.C. § 2304, Conflict of Interest Standards for Consultants
- 41 U.S.C. § 2313, Database for Suspension and Debarment Officials
- Pub. L. 103-355 Sec 2455, Uniform Suspension and Debarment
- Pub. L. 111-84 Sec 815, Clarification of Uniform Suspension and Debarment Requirement
- Pub. L. 117-324, Preventing Organization Conflicts of Interest in Federal Acquisition
- E.O. 12549 and E.O. 12689, Debarment and Suspension

Change	Description
Retained	 Subparts 9.1, 9.2, and 9.3 are significantly streamlined with some shifting and reorganizing of sections and subsections throughout. Subparts 9.4 "Debarment, Suspension, and Ineligibility", and 9.5 "Organizational and Consultant Conflicts of Interest", are retained and updated with plain language edits. All existing provisions and clauses are retained (or remain reserved if previously reserved) with no changes to the text.
Removed	 Section 9.000 "Scope of Part", has been removed as the language was duplicative. The definition of "Surveying activity" has been removed from section 9.101, "Definitions". Section 9.104-2 "Special Standards", has been removed and may be moved to non-regulatory content. Section 9.106 "Preaward Surveys", has been removed and may be moved to non-regulatory content. This includes the reference to utilizing the Standard Form 1403, Preaward Survey of Prospective Contractor (General). Section 9.107 "Surveys of Nonprofit Agencies Participating in the AbilityOne Program" has been removed. The AbilityOne Program is

- covered in part 8 and nuances of pre-award surveys relevant to the AbilityOne Program are now covered in non-regulatory content.
- Subpart 9.6 "Contractor Team Arrangements", has been removed and may be moved to non-regulatory content.
- Subpart 9.7 "Defense Production Pools and Research and Development Pools", has been removed and may be moved to non-regulatory content.

This table is not an exhaustive list.

4. Instructions:

- The CFTC acquisition workforce must follow the RFO part 9 instead of FAR part 9
 as codified at 48 CFR Chapter 1. The Council's RFO part 9 model deviation text is
 available at <u>Acquisition.gov/far-overhaul</u>, and is incorporated into this class
 deviation.
- Review templates and related standard operating procedures to align with this class deviation and remove unnecessary processes and steps.
- **5. Applicability:** This class deviation applies to all CFTC procurements.
- **6. Authority:** This class deviation is issued under the authority of <u>E.O. 14275</u>, <u>OMB Memo M-25-26</u>, 48 CFR 1.4, and RFO FAR 1.304.
- 7. Effective Date: This class deviation is effective November 3, 2025 and shall remain in effect until it is incorporated into the FAR or until this class deviation is otherwise rescinded.
- **8. Point of Contact:** Questions regarding this class deviation may be directed to CFTC's Senior Procurement Executive, William M. Roberson, at wroberson@cftc.gov.

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